

Public Utilities Commission of Ohio

Dedicated Purpose Fund Group

4A30 870614 Grade Crossing Protection Devices-State

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$1,022,224	\$1,123,082	\$645,408	\$1,146,287	\$664,669	\$1,000,000
% change	9.9%	-42.5%	77.6%	-42.0%	50.5%

Source: Dedicated Purpose Fund Group: \$1.2 million per year from the state gasoline tax

Legal Basis: ORC 4907.471 and 4907.472; Section 375.10 of H.B. 49 of the 132nd G.A.

Purpose: This line item is used to provide warning devices (including flasher lights and gates) at rail-highway crossings, pursuant to ORC 4907.471. Funds from this line item are used to provide preliminary funding for upgrades or funding for which federal funds cannot be used (e.g., to cover preliminary engineering costs). The upgrades are undertaken by the railroads, and the PUCO reimburses them for the expenditure when the project is complete.

4L80 870617 Pipeline Safety-State

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$331,952	\$331,254	\$311,197	\$187,146	\$330,795	\$346,253
% change	-0.2%	-6.1%	-39.9%	76.8%	4.7%

Source: Dedicated Purpose Fund Group: Assessments against gas and natural gas pipeline operators and deposited into the Pipeline Safety Fund (individual assessments are based on the total amount of gas supplied during the calendar year preceding the assessment; assessments are made in October of each year and the total amount assessed depends on the appropriation level)

Legal Basis: ORC 4905.92; Section 375.10 of H.B. 49 of the 132nd G.A. (originally established by H.B. 365 of the 119th G.A.)

Purpose: Moneys in this line item are used to administer the pipeline safety code for all gas and natural gas pipeline operators in the state and to finance PUCO's duties and responsibilities under the program. All of the moneys deposited in the fund are to be used for the administration and enforcement of the pipeline safety code.

Public Utilities Commission of Ohio

5610 870606 Power Siting Board

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$568,498	\$438,465	\$501,566	\$465,501	\$511,024	\$595,185
% change	-22.9%	14.4%	-7.2%	9.8%	16.5%

Source: Dedicated Purpose Fund Group: Fees submitted with applications for a certificate of environmental compatibility and public need plus expenses incurred in processing applications. Utilities are billed annually for expenses incurred in the prior year.

Legal Basis: ORC 4906.06; Section 375.10 of H.B. 49 of the 132nd G.A. (originally established by H.B. 291 of the 115th G.A.)

Purpose: This line item provides operating funds for the Power Siting Board. The board is empowered to approve, disapprove, or "modify and approve" applications for a certificate of environmental compatibility and public need. A public utility must have such a certificate before constructing or expanding major utility facilities.

5BP0 870623 Wireless 9-1-1 Administration

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$12,211,095	\$0	\$0	\$0	\$0	\$0
% change	-100%	N/A	N/A	N/A	N/A

Source: Dedicated Purpose Fund Group: Fees imposed on wireless service subscribers

Legal Basis: Discontinued line item (originally established by ORC 128.42; Section 357.10 of H.B. 59 of the 130th G.A.)

Purpose: This line item provided funding for the compensation of the Ohio 9-1-1 Coordinator and for other expenses of operating the 9-1-1 Service Program. H.B. 59 of the 130th G.A. transferred the program to the Department of Administrative Services. The 9-1-1 Service Program (and its successor, the 9-1-1 Program Office) is responsible primarily for distributing fee revenue received from charges levied on wireless service subscribers to counties and other political subdivisions that operate wireless enhanced 9-1-1 service within the county.

Public Utilities Commission of Ohio

5F60 870622 Utility and Railroad Regulation

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$28,488,384	\$27,219,186	\$28,389,796	\$31,585,939	\$30,915,439	\$32,696,155
% change	-4.5%	4.3%	11.3%	-2.1%	5.8%

Source: Dedicated Purpose Fund Group: Assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission. The total assessment in any year is equal to the agency's appropriation from the Public Utilities Fund (Fund 5F60; i.e., line items 870622 and 870624). If the agency's expenditures are less than its appropriation in a given year, the next year's assessment is reduced by the difference.

Legal Basis: ORC 4905.10; Section 375.10 of H.B. 49 of the 132nd G.A. (originally established by H.B. 215 of the 122nd G.A.)

Purpose: This line item funds activities related to the regulation of investor-owned telephone, electric, gas, water and sewer utilities. The item also funds the Commission's regulation of railroads.

5F60 870624 NARUC/NRRI Subsidy

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$85,000	\$85,000	\$5,000	\$30,000	\$65,919	\$85,000
% change	0.0%	-94.1%	500.0%	119.7%	28.9%

Source: Dedicated Purpose Fund Group: Assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission

Legal Basis: Section 375.10 of H.B. 49 of the 132nd G.A. (originally established by H.B. 215 of the 122nd G.A.)

Purpose: This line item funds PUCO's share of an assessment levied by the National Association of Regulatory Utility Commissioners (NARUC) to support the National Regulatory Research Institute (NRRI). The fee is based on a percentage of utilities' operating revenues by class of utility.

Public Utilities Commission of Ohio

5LTO 870640 Intrastate Registration

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$150,503	\$166,798	\$156,924	\$147,612	\$174,329	\$195,000
% change	10.8%	-5.9%	-5.9%	18.1%	11.9%

Source: Dedicated Purpose Fund Group: Fees paid by for-hire motor carriers operating solely in Ohio: \$30 per year for a tractor or truck pulling trailer, tow truck, or bus and \$20 per year for a straight truck, van, or car.

Legal Basis: ORC 4921.19; Section 375.10 of H.B. 49 of the 132nd G.A.

Purpose: This line item is used to enforce the Federal Motor Carrier Safety Regulations for motor carriers operating intrastate.

5LTO 870641 Unified Carrier Registration

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$320,788	\$367,921	\$355,433	\$327,178	\$419,869	\$450,000
% change	14.7%	-3.4%	-7.9%	28.3%	7.2%

Source: Dedicated Purpose Fund Group: Fees for unified carrier registration

Legal Basis: ORC 4921.11 and 4921.19; Section 375.10 of H.B. 49 of the 132nd G.A.

Purpose: The fund receives fees for unified carrier registration. The Revised Code requires that annual fee amounts levied by PUCO be identical to those established by the Unified Carrier Registration Agreement (UCRA) Board of Directors as approved by the Federal Motor Carrier Safety Administration. Federal law mandates that all motor carriers required to register with the U.S. Department of Transportation (including private, for-hire, and exempt carriers, as well as brokers, freight forwarders, and leasing companies) pay the fees. Purely intrastate motor carriers are not subject to unified carrier registration fees.

Public Utilities Commission of Ohio

5LT0 870642 Hazardous Materials Registration

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$648,035	\$646,483	\$573,755	\$323,363	\$695,500	\$775,000
% change	-0.2%	-11.2%	-43.6%	115.1%	11.4%

Source: Dedicated Purpose Fund Group: Various fees and forfeitures paid by for-hire motor carriers operating in Ohio

Legal Basis: Section 375.10 of H.B. 49 of the 132nd G.A. (formerly ORC 4921.15 and 4921.19)

Purpose: Funds are used to enforce the Hazardous Materials Transportation Law. Prior to FY 2018, this line item received and disbursed funds received under a base-state registration program for hazardous material carriers. Under this type of program, carriers who operate in more than one state can register for all states in their home state. Consequently, some funds were disbursed to other states for those registration fees that were ultimately transferred out of Ohio. Upon the enactment of H.B. 49 of the 132nd G.A., this state-administered fee was eliminated.

5LT0 870643 Non-Hazardous Materials Civil Forfeiture

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$224,099	\$250,218	\$239,251	\$200,808	\$264,575	\$299,942
% change	11.7%	-4.4%	-16.1%	31.8%	13.4%

Source: Dedicated Purpose Fund Group: Forfeitures paid by for-hire motor carriers, private motor carriers, or persons subject to the laws governing the transportation of persons or property

Legal Basis: ORC 4923.99 and 4921.21; Section 375.10 of H.B. 49 of the 132nd G.A.

Purpose: This line item funds the administrative costs of the civil forfeitures program, and centralizes collection of civil forfeitures from for-hire motor carriers, private motor carriers, or persons subject to the laws governing the transportation of persons or property. The Revised Code requires that the forfeitures be deposited into the Public Utilities Transportation Safety Fund (Fund 5LT0) until a point of parity is reached when the amount in the fund equals the total amount appropriated from the fund for the fiscal year. Once the point is reached, additional forfeitures must be deposited into the GRF.

Public Utilities Commission of Ohio

5LTO 870644 Hazardous Materials Civil Forfeiture

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$883,908	\$946,324	\$412,116	\$560,875	\$536,453	\$907,780
% change	7.1%	-56.5%	36.1%	-4.4%	69.2%

Source: Dedicated Purpose Fund Group: Forfeitures paid by motor carriers and persons who transport hazardous materials

Legal Basis: ORC 4923.99 and 4921.21; Section 375.10 of H.B. 49 of the 132nd G.A.

Purpose: This line item funds emergency response training and other hazardous materials training programs throughout the state. According to law, 50% must go to Cleveland State University for its training program for public safety and emergency services personnel, and 45% must be distributed to other educational institutions, state agencies, regional planning commissions, and political subdivisions. The remaining 5% must be retained by PUCO for administering the law. In the event that the fund receives less than \$400,000, the Cleveland State University program would receive no less than \$200,000.

5LTO 870645 Motor Carrier Enforcement

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$3,453,431	\$3,587,819	\$3,972,048	\$3,730,282	\$4,397,333	\$4,766,322
% change	3.9%	10.7%	-6.1%	17.9%	8.4%

Source: Dedicated Purpose Fund Group: Revenues derived from annual taxes on for-hire motor carriers subject to PUCO regulation

Legal Basis: ORC 4921.13 and 4921.19; Section 375.10 of H.B. 49 of the 132nd G.A.

Purpose: Funds in this line item support activities related to the enforcement of statutes, rules and regulations governing for-hire motor carriers, which are a public utility in Ohio. PUCO ensures that these regulated motor carriers adhere to state and federal safety standards. This line item provides matching funds for federal grants funding appropriated through line items 870604 and 870608.

Public Utilities Commission of Ohio

5Q50 870626 Telecommunications Relay Service

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$3,748,544	\$3,422,714	\$2,996,639	\$2,543,373	\$2,010,661	\$3,500,000
% change	-8.7%	-12.4%	-15.1%	-20.9%	74.1%

Source: Dedicated Purpose Fund Group: An annual assessment from telecommunication service providers. The Revised Code specifies that the amount assessed against each provider be determined using a competitively neutral formula determined by PUCO.

Legal Basis: ORC 4905.84; Section 375.10 of H.B. 49 of the 132nd G.A. (originally authorized by H.B. 562 of the 127th G.A.)

Purpose: The Americans with Disabilities Act mandates an intrastate telecommunications relay service (TRS) for persons with communication disabilities. TRS enables persons with hearing or speech disabilities to communicate by phone in a manner functionally equivalent to someone without such a disability through the use of a text telephone yoke (TTY) or other similar devices. This line item reimburses the service vendor for the costs of providing the service.

5QR0 870646 Underground Facilities Protection

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$0	\$0	\$0	\$0	\$0	\$50,000
% change	N/A	N/A	N/A	N/A	N/A

Source: Dedicated Purpose Fund Group: fines for compliance failures regarding underground utility damage prevention

Legal Basis: ORC 4913.29 and 4913.31; Section 375.10 of H.B. 49 of the 132nd G.A. (originally established by the Controlling Board in FY 2016)

Purpose: This line item funds grants to provide public awareness, training, education, and incentive programs to reduce the number and severity of compliance failures among those that dig underground. Revenues to the fund consist of all fines collected under the underground utility damage prevention law enacted by S.B. 378 of the 130th G.A. The maximum fine is \$2,500 for a first offense and \$5,000 for a subsequent offense for most violations. However, PUCO expects that most fines will likely be less than these maximum amounts, especially in the early stages of enforcement.

Public Utilities Commission of Ohio

5QS0 870647 Underground Facilities Administration

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$0	\$0	\$3,801	\$111,350	\$332,058	\$316,000
% change	N/A	N/A	2,829.5%	198.2%	-4.8%

- Source:** Dedicated Purpose Fund Group: registration fees and related fines paid by those who participate in the one-call notification system
- Legal Basis:** ORC 4913.30; Section 375.10 of H.B. 49 of the 132nd G.A. (originally established by the Controlling Board in FY 2016)
- Purpose:** Revenues to this fund consist of safety registration fees collected from each utility, excavator, developer, and designer who participates in the one-call notification system, and fines related to failure to register. The required safety registration fee, which is determined by PUCO, can be up to \$50 annually. PUCO must administer and oversee the registration process. ORC 4913.03 provides that failure to register results in a fine up to \$2,500. This line item must be used for the operation of the underground technical committee, created under ORC 3781.34. The line item also funds PUCO in the performance of its duties created under S.B. 378 of the 130th G.A.

Federal Fund Group

3330 870601 Gas Pipeline Safety

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$593,942	\$579,586	\$597,959	\$70,954	\$396,203	\$597,959
% change	-2.4%	3.2%	-88.1%	458.4%	50.9%

- Source:** Federal Fund Group: CFDA 20.700, Pipeline Safety
- Legal Basis:** ORC 4905.91; Section 375.10 of H.B. 49 of the 132nd G.A. (originally established by the Controlling Board in FY 1973)
- Purpose:** This line item contains operating funds for the Gas Pipeline Safety program. The program was originally authorized by the Natural Gas Pipeline Safety Act of 1968 and more recently by the Protecting our Infrastructure of Pipelines and Enhancing Safety Act of 2016. The line item receives reimbursements from the federal government amounting to 50% of the costs of operating the program. In order to remain eligible for the funds, the state must maintain a previously established level of effort. The state's share of expenses comes from line item 870622, Utility and Railroad Regulation.

Public Utilities Commission of Ohio

3500 870608 Motor Carrier Safety

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$7,147,921	\$4,554,422	\$7,509,314	\$7,043,537	\$9,504,864	\$10,058,083
% change	-36.3%	64.9%	-6.2%	34.9%	5.8%

Source: Federal Fund Group: CFDA 20.218, Motor Carrier Safety Assistance Program (Federal Motor Carrier Safety Administration)

Legal Basis: ORC 4921.21; Section 375.10 of H.B. 49 of the 132nd G.A. (originally established by Controlling Board in 1984)

Purpose: These federal funds are used to administer the Motor Carrier Safety Assistance Program (MCSAP) involving the safe operation of commercial motor vehicles. The program, originally authorized by the Surface Transportation Act of 1982, began as an inspection program by the PUCO. However, with the passage of the Intermodal Surface Transportation Act of 1991, it was expanded to deal with drug interdiction and other matters under the purview of the State Highway Patrol. To receive the grant, the state must contribute 20% of the total costs and use the funds to enhance the program, not to support existing activities. The PUCO is the primary recipient for these federal funds. The entire federal grant is appropriated through this PUCO line item. An appropriate amount of the grant is subsequently transferred to the Department of Public Safety to fund the Department's enforcement division.

3EA0 870630 Energy Assurance Planning

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$17,099	\$0	\$0	\$0	\$0	\$0
% change	-100%	N/A	N/A	N/A	N/A

Source: Federal Fund Group: CFDA 81.122, Electricity Delivery and Energy Reliability, Research, Development and Analysis

Legal Basis: Discontinued line item (originally established by the Controlling Board in FY 2010)

Purpose: This line item provided funding to improve state emergency preparedness plans and to ensure quick recovery and restoration from any energy supply disruptions. This entails electricity delivery and energy reliability activities to modernize the electric grid. These federal funds, authorized by the American Recovery and Reinvestment Act (ARRA), were also used for implementation of smart grid programs authorized under Title XIII of the Energy Independence and Security Act of 2007.

Public Utilities Commission of Ohio

3ED0 870631 State Regulators Assistance

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$121,128	\$34,273	\$0	\$0	\$0	\$0
% change	-71.7%	-100%	N/A	N/A	N/A

Source: Federal Fund Group: CFDA 81.122, Electricity Delivery and Energy Reliability, Research, Development and Analysis

Legal Basis: Discontinued line item (formerly Section 357.10 of H.B. 59 of the 130th G.A., originally established by the Controlling Board in FY 2010)

Purpose: This line item provided funding to ensure the state utility commission can meet the increased demand caused by the increased workload required to fully address the electricity sector initiatives included in the American Recovery and Reinvestment Act (ARRA). The U.S. Department of Energy made this federal grant available to hire additional staff to ensure appropriate technical expertise is dedicated to regulatory activities pertaining to ARRA initiatives.

3V30 870604 Commercial Vehicle Information Systems/Networks

FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Adj. Approp.
\$185,330	\$30,417	\$27,292	\$20,313	\$1,563	\$100,000
% change	-83.6%	-10.3%	-25.6%	-92.3%	6,300.0%

Source: Federal Fund Group: CFDA 20.205, Commercial Vehicle Information Systems/Networks (Federal Highway Administration, Highway Planning and Construction grants)

Legal Basis: Section 375.10 of H.B. 49 of the 132nd G.A. (originally established by H.B. 94 of the 124th G.A.)

Purpose: The Commercial Vehicle Information Systems and Networks (CVISN) Program is a key component of the Federal Motor Carrier Safety Administration's (FMCSA) drive to improve commercial motor vehicle safety. CVISN enables safety inspectors to target their resources on the highest risk carriers, drivers, and vehicles. PUCO is the administrative lead for Ohio. The departments of Taxation, Public Safety, and Transportation, as well as the Ohio Trucking Association are participating in the project.