

Ohio Lottery Commission					
Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Nontransfer of funds (NTF) bank fee for failure of lottery sales agent to timely transfer revenues to Commission	3770.02(H)(2), 3770.03(B)(2)	3770-4-06	\$30.00/NTF	Yes, by statute	As needed
License fee (lottery sales agent)	3770.05(G)(1)		\$25.00/agent, one-time fee	Yes, with Controlling Board approval	As needed
License renewal fee (lottery sales agent)	3770.05(G)(2)		Actual costs	Yes, with Controlling Board approval	As needed
Administrative fee for changes in license (lottery sales agent)	3770.05(G)(3)		Actual costs	Yes, with Controlling Board approval	As needed
Processing fee for costs associated with making prize award payments, in accordance with a schedule, because of a prize award transfer	3770.14(B)	3770:1-8-01	\$250.00	Yes, by rule	As needed
Copy of the public hearing notice to person who requests it		3770:1-2-03	Costs of copying and mailing	Yes, by statute	As needed
Video lottery sales agent licensing application*	3770.03	3770:2-11-01	\$50,000,000.00	Yes, by statute	As needed
Gaming employee licensing application – key gaming employee	3770.03	3770:2-11-01	\$250.00	Yes, by statute	As needed
Gaming employee licensing application – gaming employee	3770.03	3770:2-11-01	\$100.00	Yes, by statute	As needed
Technology provider licensing*	3770.03	3770:2-11-01	\$2,500.00	Yes, by statute	As needed
Technology provider individual license	3770.03	3770:2-11-01	\$100.00	Yes, by statute	As needed
Conducting advertising or promotional campaigns deemed to be inappropriate	3770.03(B)(6)	3770:2-6-05	Reasonable fine, up to \$1,000.00	Yes, by statute	As needed
Failure to provide the Ohio Lottery Commission with video lottery terminal floor plans and to update them as appropriate	3770.03(B)(6)	3770: 2-6-04 (B)	Reasonable fine, up to \$1,000.00	Yes, by statute	As needed
Employing an individual without an appropriate key gaming, gaming, or nongaming license.	3770.03(B)(6)	3770:2-3-06 (A)	Reasonable fine/employee per day, from \$0.00 to \$1,000.00	Yes, by statute	As needed

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
A VLSA that does not have required certifications for video lottery terminals and video lottery games prior to operation by the public	3770.03(B)(6)	3770: 2-6-04(C)	Reasonable fine/machine, from \$0.00 to \$1,000.00	Yes, by statute	As needed
A VLSA that has more than 2,500 video lottery terminals operational at the licensed facility without the approval of the Director	3770.03(B)(6)	3770:2-6-01(B)	Reasonable fine/day, from \$0.00 to \$1,000.00	Yes, by statute	As needed
A VLSA permits an individual less than 21 years of age to play a video lottery game.	3770.03(B)(6)	3770:2-7-01(A); 3770:2-3-05(A)(1) (g)	Reasonable fine/occurrence, from \$0.00 to \$10,000.00	Yes, by statute	As needed
A VLSA permits an individual less than 21 years of age to play a video lottery game and to win a video lottery jackpot and fails to demonstrate control of individuals less than 21 years of age that traverse the gaming floor at all times while within the facility.	3770.03(B)(6)	3770:2-7-01(A)	Reasonable fine/occurrence, from \$0.00 to \$10,000.00; in addition, the jackpot shall be voided and the VLSA shall forfeit the commission derived from the canceled jackpot (66.5% of amount)	Yes, by statute	As needed
A VLSA who does not seek approval of the Director prior to putting video lottery terminals into operation.	3770.03(B)(6)	3770:2-3-05(A)(1) (i) and (j)	Reasonable fine/day, from \$0.00 to \$1,000.00	Yes, by statute	As needed
A VLSA who sets the theoretical payout of a video lottery terminal to below 85%	3770.03(B)(6)	3770:2-10-60 (D)	Reasonable fine/machine, from \$0.00 to \$25,000.00	Yes, by statute	As needed
A VLSA's failure to maintain any required surety bond, dedicated nonrevocable letter of credit, or other form of credit authorized or required by the Director	3770.03(B)(6)	3770:2-3-05 (A) (1)(b)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed
A VLSA's Failure to maintain any insurance, coverage and/or bonds required by the Director	3770.03(B)(6)	3770:2-3-05(A)(1) (c)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Failure to maintain adequate and sufficient security for video lottery terminals or video lottery games provided in the state	3770.03(B)(6)	3770:2-3-05(A)(1)(h)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed
Failure to provide any required notice or failure to obtain any required approval prior to transportation of a video lottery terminal within or out of the state	3770.03(B)(6)	3770:2-6-04 (A)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed
A VLSA's Failure to adhere to all the terms and conditions as set forth in the licensing agreement, including any amendments or modifications	3770.03(B)(6)	3770:2-3-05(A)(1)(p)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed
A VLSA's Failure to comply with ongoing duty to report changes in financial condition, criminal activities, and other incidents as set in policy	3770.03(B)(6)	3770:2-3-05 (A)(3)	Reasonable fine, from \$0.00 to \$50,000.00	Yes, by statute	As needed
If the Director has determined that a VLSA provider should be subjected to a criminal background check and is convicted of any of the offenses set forth in divisions(C)(1) to (C)(5) and (E)(1) to (E)(2) of R.C. 3770.05, or any other criminal violation which as determined may negatively impact the integrity of the lottery	3770.03(B)(6)	3770:2-3-05(2)	Reasonable fine, from \$0.00 to \$50,000.00	Yes, by statute	As needed
Failure to complete the transfer of funds required in order to settle the weekly invoices sent to the VLSA	3770.03(B)(6)	3770:2-3-10 (E)	Reasonable fine, up to 10% of transfer	Yes, by statute	As needed
A VLSA Conducting a promotion without the approval of the Director.	3770.03(B)(6)	3770:2-6-05	Reasonable fine/occurrence, from \$0.00 to \$10,000.00 as well as any free play associated with an unapproved promotion.	Yes, by statute	As needed
A Technology Provider making a fraudulent representation in connection with a technology provider application or the technology provider licensee's conduct.	3770.03(B)(6)	3770:2-5-06(d)	Reasonable fine, from \$0.00 to \$25,000.00	Yes, by statute	As needed

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
A Technology Provider's failure to maintain adequate and sufficient security for video lottery terminals or video lottery games up to the point of accepted delivery by the VLSA.	3770.03(B)(6)	3770:2-5-06(e)	Reasonable fine/machine, from \$0.00 to \$10,000.00	Yes, by statute	As needed
Providing or participating in the installation of a video lottery game that has not been approved by the director or commission.	3770.03(B)(6)	3770:2-5-06(f)	Reasonable fine, from \$0.00 to \$25,000.00	Yes, by statute	As needed
Providing or participating in the installation of devices for the sale of video lottery at a facility not approved for a video lottery license or providing or participating in the installation of devices for the sale of video lottery at a facility approved for a video lottery license other than video lottery terminals authorized and approved by the director for use in connection with video lottery.	3770.03(B)(6)	3770:2-5-06(g)	Reasonable fine, from \$0.00 to \$25,000.00	Yes, by statute	As needed
Failure to provide any required notice or failure to obtain any required approval prior to transportation of a video lottery terminal within or out of the State.	3770.03(B)(6)	3770:2-5-06(h)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed
Failure to adhere to all the terms and conditions as set forth in the licensing agreement, including any amendments or modifications.	3770.03(B)(6)	3770:2-5-06(j)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed
If the director has determined that a technology provider should be subjected to a criminal background check and are convicted of any of the offenses or any other criminal violation which as determined by the director may negatively impact the integrity of the lottery.	3770.03(B)(6)	3770:2-5-06(j)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed
A technology provider who fails to inform the OLC of incidents, i.e. software revocations, in other jurisdictions which may impact the functioning of machines in Ohio.	3770.03(B)(6)	3770:2-5-06 (i)(j)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
A technology provider who fails to notify the OLC promptly regarding identified errors, problems or weaknesses in the software set. The notification should include: An identification of impacted markets, an identification of impacted software, and a description of the incident, recommended remedies and a contact for further information.	3770.03(B)(6)	3770:2-5-06 (i)(j)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed
Any principal or employee, or any blood relative or spouse, of a licensed technology provider who plays a VLT at any Ohio VLSA location.	3770.03(B)(6)	3770:2-7-01 (B) (2)	Reasonable fine, from \$0.00 to \$10,000.00	Yes, by statute	As needed

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