

**GENERAL REVENUE FUND**

## GRF 800-402 GRANTS-VOLUNTEER FIRE DEPARTMENTS

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$492,500	\$492,500	\$700,000	\$700,000	\$725,000	\$750,000
	0.0%	42.1%	0.0%	3.6%	3.4%

**Source:** GRF

**Legal Basis:** originally established by H.B. 111 of the 118th G.A.

**Purpose:** These funds assist volunteer fire departments by providing them with grants to purchase equipment. Until 1993 the maximum grant amount was \$500 per volunteer fire department. Current temporary law language has set the maximum at \$10,000 per grant, or \$25,000 for volunteer fire departments located in an area affected by a natural disaster. The grants are awarded based upon applicant need. The program is administered by the State Fire Marshal. According to the Department, there are approximately 900 volunteer fire departments in Ohio.

## GRF 800-405 PLUMBING

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$123,499	\$184,498	\$0	\$0
	N/A	N/A	49.4%	-100.0%	N/A

**Source:** GRF

**Legal Basis:** ORC 3703

**Purpose:** These funds are used to pay for plumbing inspections in public buildings and to certify and train plumbing inspectors employed by local health and building departments. The line item is now funded through Fund 556, Industrial Compliance

**GENERAL SERVICES FUND GROUP**

## 163 800-620 ADMINISTRATION

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$2,633,750	\$2,547,904	\$3,267,613	\$3,534,273	\$4,011,799	\$4,036,624
	-3.3%	28.2%	8.2%	13.5%	0.6%

**Source:** special assessment levied on the department's various operating line items

**Legal Basis:** ORC 121.08 (originally established by Am. Sub. H.B. 694 of the 114th G.A., and by Controlling Board in January, 1981)

**Purpose:** Administration of the Department, including directing, supporting and coordinating the operations of the divisions, is the primary purpose of this line item.

**FEDERAL SPECIAL REVENUE FUND GROUP**

## 348 800-622 UNDERGROUND STORAGE TANKS

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$161,299	\$161,164	\$158,206	\$161,183	\$186,800	\$186,800
	-0.1%	-1.8%	1.9%	15.9%	0.0%

**Source:** CFDA 66.804, State Underground Storage Tanks Program

**Legal Basis:** originally established by Controlling Board on April 14, 1986

**Purpose:** The funds in this line item are used to locate certain underground storage tanks and catalog their contents. The program also seeks to prevent leaks by detecting and correcting leaking underground storage tanks. A federally mandated program, this is administered by the Bureau of Underground Storage Tank Registration (BUSTR) in the office of the State Fire Marshal.

## 348 800-624 LEAKING UNDERGROUND STORAGE TANK

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$2,255,011	\$2,040,655	\$1,407,592	\$1,250,539	\$2,439,187	\$2,500,167
	-9.5%	-31.0%	-11.2%	95.1%	2.5%

**Source:** CFDA 66.805, Leaking Underground Storage Tanks Trust Fund Program

**Legal Basis:** originally established by Controlling Board in December 1987

**Purpose:** This trust fund is used to evaluate and clean up leaking underground storage tanks containing petroleum. A 10 percent state match is maintained in line item 800-629.

**STATE SPECIAL REVENUE FUND GROUP**

## 543 800-602 UNCLAIMED FUNDS - OPERATING

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$2,954,570	\$3,444,260	\$2,993,530	\$3,563,527	\$4,012,758	\$4,104,391
	16.6%	-13.1%	19.0%	12.6%	2.3%

**Source:** Funds are allocated from the unclaimed funds custodial account under the Treasurer of State which receives at least 10 percent of the aggregate amount of unclaimed funds of financial and business institutions as reported on institutional records, as well as interest earned on these funds.

**Legal Basis:** ORC 169.05 (originally established by S.B. 411 of the 107th G.A., where it originally paid claims)

**Purpose:** The purpose of this line item is to pay the operating and administrative expenses of the Division of Unclaimed Funds.

## 547 800-603 REAL ESTATE EDUCATION AND RESEARCH

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$200,067	\$103,138	\$145,713	\$302,714	\$242,656	\$242,656
	-48.4%	41.3%	107.7%	-19.8%	0.0%

**Source:** \$2 from each real estate broker's and salesperson's examination and licensing fee of \$59 and \$39, respectively

**Legal Basis:** ORC 4735.06

**Purpose:** This line item is used to advance education and research in real estate by contracting with higher education institutions in the state to conduct real estate research. It also advances loans of \$500 or less to applicants for salesperson's licenses to help defray the education requirement costs of ORC 4735.09.

## 552 800-604 CREDIT UNION

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$1,617,936	\$1,761,554	\$1,911,945	\$1,867,294	\$2,135,852	\$2,271,624
	8.9%	8.5%	-2.3%	14.4%	6.4%

**Source:** a semi-annual assessment (January/February and July) on the gross assets of credit unions, with total assessment in any year determined by the division's appropriation for that year; (Until Jan. 1, 1993 various fees were charged to credit units. Fees were based, in part, on the gross assets of the credit unions, with a maximum fee of \$2,000. Passage of S.B. 359 of the 119th G.A. changed the funding mechanism to its current form.)

**Legal Basis:** ORC 1733.321 (originally established by Am. Sub. H.B. 356 of the 112th G.A.)

**Purpose:** These funds pay for the regulatory and administrative costs incurred as a result of regulating state-chartered credit unions.

## 4D2 800-605 AUCTION EDUCATION

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$7,551	\$4,570	\$7,905	\$14,220	\$30,000	\$30,000
	-39.5%	73.0%	79.9%	111.0%	0.0%

**Source:** seven dollars and fifty cents (\$7.50) of each fee collected for either an initial or renewed auctioneer's license in Ohio

**Legal Basis:** ORC 4707 (originally established by S.B. 146 of the 118th G.A.)

**Purpose:** The auction education fund is used to educate and provide research for the auction profession in Ohio.

4G8 800-606 SAVINGS BANKS

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$43,067	\$830,741	\$880,681	\$1,021,263	\$1,038,467
	N/A	1829.0%	6.0%	16.0%	1.7%

**Source:** Fees are assessed based upon the cost of regulating savings banks. Amounts collected, but unexpended, are then considered. Finally, the Superintendent assesses savings banks a fee based upon their total assets.

**Legal Basis:** ORC 1161; ORC 1163; ORC 1165 (originally established by H.B. 397 of the 119th G.A.)

**Purpose:** The purpose of this fund is to pay for administrative expenses incurred as a result of regulating state-chartered savings banks. A single budget appropriation for the Division of Savings and Loan and the Division of Savings Banks had been utilized until FY 1996. After that time, a separate fund, 4G8, was created for savings banks. For fiscal years 1998 and 1999 the Department of Commerce anticipates regulating 27 savings banks. The primary purpose of savings banks is residential lending.

553 800-607 CONSUMER FINANCE

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$736,866	\$809,871	\$839,816	\$1,077,230	\$1,289,087	\$1,333,612
	9.9%	3.7%	28.3%	19.7%	3.5%

**Source:** investigation and annual license or registration fees charged to consumer loan companies, pawnbrokers, precious metals dealers, check-cashing businesses, mortgage brokers, and credit service organizations; one-half of the fees collected from pawnbrokers and precious metals dealers are returned to the local government in which they reside

**Legal Basis:** ORC 1321.21 (originally established by Am. Sub. H.B. 356 of the 112th G.A.)

**Purpose:** These funds pay for the regulatory and administrative costs of the Division of Consumer Finance.

4H9 800-608 CEMETERIES

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$139,520	\$133,792	\$143,698	\$199,902	\$213,440	\$218,794
	-4.1%	7.4%	39.1%	6.8%	2.5%

**Source:** fees from cemetery registrations and burial permits

**Legal Basis:** ORC 4767 (originally established by the Controlling Board on February 2, 1993)

**Purpose:** The funds are used to support the activities of the Cemetery Dispute Resolution Committee (established by Sub. H.B. 733 of the 119th G.A.) and the Division of Real Estate as they relate to cemetery regulation.

## 4L5 800-609 FIREWORKS TRAINING &amp; EDUCATION

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$2,366	\$2,835	\$3	\$16	\$5,000	\$5,000
	19.8%	-99.9%	433.3%	31150.0%	0.0%

**Source:** assessments on fireworks manufacturers and wholesalers

**Legal Basis:** ORC 3737.22 (originally established by the Controlling Board on February 2, 1993, pursuant to passage of Sub. H.B. 508 of the 119th G.A.)

**Purpose:** These funds are used for training and educating employees of the State Fire Marshal on matters related to pyrotechnics.

## 546 800-610 FIRE MARSHAL

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$7,643,167	\$7,894,280	\$7,824,080	\$7,934,008	\$8,666,887	\$8,769,749
	3.3%	-0.9%	1.4%	9.2%	1.2%

**Source:** taxes from insurance companies selling fire insurance in Ohio (tax rate is equal to one-half of 1% of the gross premium receipts received from the sale of fire insurance); pursuant to H.B. 152, and beginning in FY 1994, the fund receives 20 percent of "retaliatory" revenues (retaliatory revenues are collected and deposited in the GRF from out-of-state insurance companies that sell fire insurance in Ohio); revenue from inspection fees, hotel permits, and fireworks licenses

**Legal Basis:** ORC 3737.22 (originally established by Am. Sub. H.B. 590 of the 112th G.A.)

**Purpose:** These funds maintain and administer the Office of the State Fire Marshal. Any unappropriated funds at the end of the year help defray the operating costs of the Ohio Fire Academy.

## 548 800-611 REAL ESTATE RECOVERY

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$82,493	\$172,676	\$105,419	\$39,299	\$265,972	\$265,972
	109.3%	-38.9%	-62.7%	576.8%	0.0%

**Source:** interest earned on the principal invested by the Division of Real Estate

**Legal Basis:** ORC 4735.12

**Purpose:** These funds are used to reimburse any person (except a bonding company when it is not a principal in a real estate transaction) who obtains a court judgment against any broker or salesperson licensed under ORC 4735.

## 544 800-612 BANKS

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$4,464,503	\$4,520,030	\$5,024,345	\$4,524,021	\$5,573,488	\$5,652,450
	1.2%	11.2%	-10.0%	23.2%	1.4%

**Source:** application, examination, and investigation fees paid by banks, plus an assessment charged to all banks subject to inspection and examination by the division; money transmitter fees (pursuant to Sub. H.B. 332 of the 119th G.A., and ORC 1320)

**Legal Basis:** ORC 1125.28 (originally established by Am. Sub. S.B. 447 of the 111th G.A.)

**Purpose:** These funds pay the Division of Banks' operating expenses that are incurred from regulating the banking industry in Ohio.

## 545 800-613 SAVINGS AND LOAN

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$2,544,441	\$2,655,928	\$1,614,764	\$1,452,425	\$1,854,310	\$1,886,958
	4.4%	-39.2%	-10.1%	27.7%	1.8%

**Source:** Fees are assessed based upon the cost of regulating savings and loans. Amounts collected, but unexpended, are then considered. Finally, the Superintendent assesses savings and loans a fee based upon their total assets.

**Legal Basis:** ORC 1155.131 (originally established by Am. Sub. S.B. 447 of the 111th G.A.)

**Purpose:** Division regulatory and operating expenses are paid by this line item.

## 549 800-614 REAL ESTATE

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$2,050,427	\$1,843,420	\$2,077,591	\$1,962,433	\$2,547,017	\$2,611,160
	-10.1%	12.7%	-5.5%	29.8%	2.5%

**Source:** license and other fees charged to real estate brokers and salesmen (the amount appropriated to the Real Estate Education and Research line item-800-603, is excluded)

**Legal Basis:** ORC 4735.211 (originally established by Am. Sub. S.B. 447 of the 111th G.A.)

**Purpose:** These funds pay for regulatory and operating expenses of the Division of Real Estate.

## 556 800-615 INDUSTRIAL COMPLIANCE

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$8,007,757	\$16,983,832	\$17,373,951	\$16,892,288
	N/A	N/A	112.1%	2.3%	-2.8%

**Source:** revenues from the regulation of pressure piping, bedding & upholstery, steam engineers, elevators, plumbing, license occupations and travel agents

**Legal Basis:** ORC 4101.021 (originally established by Am. Sub. S.B. 162 of the 121st G.A.)

**Purpose:** This line item essentially replaces the Department of Industrial Relations operating expenses line items (GRF 830-321 and SSR 830-606) and is used to pay administrative and regulatory expenses for the items listed above.

## 550 800-617 SECURITIES

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$4,173,159	\$4,703,249	\$5,493,385	\$3,545,502	\$3,986,708	\$4,025,977
	12.7%	16.8%	-35.5%	12.4%	1.0%

**Source:** fees collected under ORC 1707 (Securities) and ORC 3949 (Bond Investment Companies); until the passage of Sub. H.B. 332 of the 118th G.A., money transmitter fees were also received

**Legal Basis:** ORC 1707.37 (originally established by Am. Sub. H.B. 204 of the 113th G.A.)

**Purpose:** These funds provide for the operation of the Division of Securities.

## 551 800-618 LICENSING

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$1,074,224	\$1,008,473	\$1,050,313	\$20,901	\$0	\$0
	-6.1%	4.1%	-98.0%	-100.0%	N/A

**Source:** fees and fines charged to auctioneers, private investigators, security guard providers, and personnel placement services

**Legal Basis:** ORC 4707.05 (originally established by Am. Sub. H.B. 1237 of the 113th G.A.). Am. Sub. S.B. 293 of the 121st General Assembly eliminated this fund and transferred the responsibility to other divisions in the Department.

**Purpose:** These funds are used to pay the operating expenses of the Division of Licensing.

## 4X2 800-619 FINANCIAL INSTITUTIONS

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$400,342	\$829,389	\$1,420,343	\$1,436,861
	N/A	N/A	107.2%	71.3%	1.2%

**Source:** assessments upon the divisions of Banks, Savings and Loan, Credit Unions, Savings Banks and Consumer Finance which are prorated, reflecting the gross payroll of each division employs

**Legal Basis:** ORC 121.08 (C)(1) (originally established by Am. Sub. S.B. 162 of the 121st G.A.)

**Purpose:** This fund provides centralized administrative support to the agencies listed above. This fund was created to streamline administrative support operations. Each division will retain their own regulatory functions.

## 543 800-625 UNCLAIMED FUNDS - CLAIMS

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$19,132,916	\$18,566,804	\$15,277,922	\$25,351,053	\$22,616,000	\$23,249,248
	-3.0%	-17.7%	65.9%	-10.8%	2.8%

**Source:** unclaimed funds

**Legal Basis:** ORC 169 (originally established by Am. Sub. H.B. 171 of the 117th G.A.)

**Purpose:** The Unclaimed Funds line item pays claims from unclaimed funds held by the state pursuant to Chapter 169. of the Revised Code. Prior to FY 1988, claims payments were made from what is now the 800-602, Unclaimed Funds - Operating, line item.

## 5B8 800-628 AUCTIONEERS

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$159,098	\$336,041	\$339,554
	N/A	N/A	N/A	111.2%	1.0%

**Source:** licensing fees collected from auctioneers

**Legal Basis:** Am. Sub. S.B. 293 of the 121st General Assembly

**Purpose:** This item pays for the operating expenses related to regulating the auctioneer industry.

## 653 800-629 UST REGISTRATION/PERMIT FEE

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$1,666,363	\$2,235,313	\$2,113,459	\$1,754,275	\$1,081,698	\$1,033,576
	34.1%	-5.5%	-17.0%	-38.3%	-4.4%

**Source:** underground storage tank registration fees

**Legal Basis:** ORC 3737.02, ORC 3737.79, ORC 3737.87, and ORC 3737.88

**Purpose:** This fund provides money for the 10 percent required state match for federal funds line item 800-624, Leaking Underground Storage Tanks.

## 6A4 800-630 REAL ESTATE APPRAISER OPERATING

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$286,742	\$350,888	\$386,521	\$296,283	\$449,451	\$460,849
	22.4%	10.2%	-23.3%	51.7%	2.5%

**Source:** fees from the certification of real estate appraisers (S.B. 202 originally required certifications to be renewed every other year, but due to the low number of individuals seeking certification, S.B. 359 of the 119th G.A. changed the renewal period to an annual basis, with the fee for certification remaining at \$125)

**Legal Basis:** ORC 4763.15 (originally established by S.B. 202 of the 118th G.A.)

**Purpose:** This line item is used to pay administrative and operating costs of the Real Estate Appraiser Board.

## 4B2 800-631 REAL ESTATE APPRAISAL RECOVERY

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$9,577	\$67,000	\$68,500
	N/A	N/A	N/A	599.6%	2.2%

**Source:** assessments against certificate holders (assessments are made so that the account maintains a balance of approximately \$500,000)

**Legal Basis:** ORC 4735 (originally established by Sub. S.B. 202 of the 118th G.A.)

**Purpose:** This fund pays claims against real estate appraisers certified by the Ohio Real Estate Appraiser Board. The account may not be used to pay punitive damages.

## 5B9 800-632 PI &amp; SECURITY GUARD PROVIDER

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$927,142	\$872,317	\$895,150
	N/A	N/A	N/A	-5.9%	2.6%

**Source:** licensing fees collected from private investigators and security guard providers

**Legal Basis:** Am. Sub. S.B. 293 of the 121st General Assembly

**Purpose:** This line item pays for the costs associated with regulating this industry.

**HOLDING ACCOUNT REDISTRIBUTION FUND GROUP**

## R26 800-616 INDUSTRIAL COMPLIANCE REFUNDS

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$39,407	\$1,636	\$0	\$0
	N/A	N/A	-95.8%	-100.0%	N/A

**Source:** improperly paid fees

**Legal Basis:** established by the Controlling Board in December, 1995 (originally established by Am. Sub. S.B. 162 of the 121st G.A.)

**Purpose:** The function of this line item was performed by the Depository Trust Fund. Under Am. Sub. H.B. 201 of the 116th General Assembly, the Depository Trust Fund was abolished. The purpose of this line item is to hold fees until their proper disposition is determined. The line item was previously located in the Department of Industrial Relations (830-607, Fund R26).

**LIQUOR CONTROL FUND GROUP**

## 043 800-321 LIQUOR CONTROL OPERATING

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$0	\$14,256,025	\$14,459,734
	N/A	N/A	N/A	N/A	1.4%

**Source:** revenue from state and agency store liquor sales

**Legal Basis:** ORC 4301. (transfer of responsibility from the Department of Liquor Control to the Department of Commerce was established under Am. Sub. S.B. 162 of the 121st General Assembly)

**Purpose:** This line item pays for personal services, maintenance and equipment (e.g., computers, desks, vehicles, etc.). The Department of Liquor Control became a division in the Department of Commerce on July 1, 1997.

## 043 800-601 MERCHANDISING

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$0	\$262,124,492	\$266,834,121
	N/A	N/A	N/A	N/A	1.8%

**Source:** revenue from state and agency store liquor sales

**Legal Basis:** ORC 4301.12

**Purpose:** This line item pays for liquor purchases, agency commissions and trucking costs. This function was transferred to the Department of Commerce on July 1, 1997, as established under the government restructuring act, Am. Sub. S.B. 162 of the 121st General Assembly.

## 861 800-634 SALVAGE AND EXCHANGE

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$0	\$102,000	\$105,000
	N/A	N/A	N/A	N/A	2.9%

**Source:** revenue from the sale of the division's unwanted material and equipment

**Legal Basis:** originally established by Controlling Board during the 1973-1975 biennium

**Purpose:** This fund provides the division with a means to sell unused or out-dated equipment and materials. This function was transferred to the Department of Commerce on July 1, 1997, under the government restructuring act, Am. Sub. S.B. 162 of the 121st General Assembly.