

**GENERAL REVENUE FUND**

GRF 870-501 NARUC/NRRI-SUBSIDY

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$158,515	\$158,515	\$158,515	\$158,515	\$0	\$0
	0.0%	0.0%	0.0%	-100.0%	N/A

**Source:** assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission

**Legal Basis:** originally established by the Controlling Board in 1982

**Purpose:** Funds PUCO's share of an assessment levied by the National Association of Regulatory Utility Commissioners (NARUC) to support the National Regulatory Research Institute (NRRI) at The Ohio State University. The fee is based on a percentage of utilities' operating revenues by class of utility. Beginning in FY 1998 this line will be replaced by NARUC/NRRI Subsidy, 870-624, a State Special Revenue fund item.

GRF 871-321 UTILITIES AND RAILROAD REGULATION

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$18,028,883	\$20,209,766	\$20,525,815	\$19,780,613	\$0	\$0
	12.1%	1.6%	-3.6%	-100.0%	N/A

**Source:** assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission (the total assessment in any year is equal to that year's appropriation minus any lapses from the previous year)

**Legal Basis:** ORC 4905.10 (originally established by Am. Sub. H.B. 204 of the 113th G.A.)

**Purpose:** Funds activities related to the regulation of investor-owned telephone, electric, gas, water and sewer utilities. Also funds the commission's regulation of railroads. Beginning in FY 1998, the assessments will be deposited into Fund 5F6, a State Special Revenue Fund, and appropriations for these activities will be made from Public Utilities Fund, 870-622.

GRF 871-499 STATE MATCH

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$246,995	\$300,629	\$247,440	\$306,885	\$0	\$0
	21.7%	-17.7%	24.0%	-100.0%	N/A

**Source:** assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission (the total assessment in any year is equal to that year's appropriation minus any lapses from the previous year)

**Legal Basis:** ORC 4905.10 (originally established by Am. Sub. H.B. 204 of the 113th G.A.; prior to its creation, state funds for these projects were appropriated to the commission's operating line items)

**Purpose:** Provides the state's matching share of the operating costs for federal projects (currently, the Gas Pipeline Safety Program). Funds in the line item and the federal funds they match are spent for personnel, training, equipment and other project expenses. (See the 870-601, Gas Pipeline Safety, line item description under the Federal Special Revenue Fund Group.)  
Beginning in FY 1998, the state's match will be funded out of Public Utilities Fund, 870-622.

GRF 872-321 MOTOR TRANSPORTATION REGULATION

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$4,799,373	\$5,042,022	\$4,369,783	\$3,601,808	\$0	\$0
	5.1%	-13.3%	-17.6%	-100.0%	N/A

**Source:** GRF (revenues are derived from fees of motor carriers registering to operate within the state via the Base State Motor Carrier registration program)

**Legal Basis:** originally established by Am. Sub. H.B. 204 of the 113th G.A.

**Purpose:** Funds in this line item support activities related to the enforcement of statutes, rules and regulations governing transportation companies (bus and motor carriers) operating within the state. The reduction in appropriations in FY 1996 resulted from the transfer in October 1995 of the transportation enforcement division to the Department of Public Safety, where it is now funded by Transportation Enforcement Operations, 768-321.  
Beginning in FY 1998, these revenues are deposited in Fund 5F6, a State Special Revenue Fund, and these activities are funded via Motor Transportation Regulation, 870-625.

GRF 872-498 STATE TRANSPORTATION MATCH

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$430,759	\$434,559	\$181,652	\$115,060	\$0	\$0
	0.9%	-58.2%	-36.7%	-100.0%	N/A

**Source:** GRF

**Legal Basis:** originally established by Am. Sub. H.B. 171 of the 117th G.A. (prior to FY 1988, state matching funds for this program were taken from line item 872-321, Motor Transportation Regulation)

**Purpose:** Provides the state match for federal funds available from the U.S. Department of Transportation under Section 402 of the Surface Transportation Act of 1982 (the Motor Carrier Safety Assistance Program). The Motor Carrier Safety Assistance Program began in FY 1985. The reduction in appropriations in FY 1996 resulted from the transfer in October of the transportation enforcement division to the Department of Public Safety, where it is now funded by Transportation Enforcement Operations, 768-321. Beginning in FY 1998, the state match is to be funded out of Motor Transportation Regulation, 870-625.

**GENERAL SERVICES FUND GROUP**

558 870-602 SALVAGE & EXCHANGE

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$17,849	\$70,676	\$16,181	\$17,205	\$58,728	\$60,373
	296.0%	-77.1%	6.3%	241.3%	2.8%

**Source:** proceeds from the sale of photocopies, publications, agenda subscriptions, recycled paper, salvaged furniture, automobiles and equipment, and employee parking

**Legal Basis:** originally established by the Controlling Board in 1972

**Purpose:** Funds are used to purchase equipment and to produce publications.

**FEDERAL SPECIAL REVENUE FUND GROUP**

333 870-601 GAS PIPELINE SAFETY

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$165,357	\$180,480	\$115,230	\$220,152	\$362,403	\$362,403
	9.1%	-36.2%	91.1%	64.6%	0.0%

**Source:** CFDA 20-700, Pipeline Safety

**Legal Basis:** originally established by Controlling Board in FY 1973

**Purpose:** Contains operating funds for the Gas Pipeline Safety program. The program was originally authorized by the Natural Gas Pipeline Safety Act of 1968 and more recently by the Pipeline Safety Act of 1992. The line item receives reimbursements from the federal government amounting to 50 percent of the costs of operating the program. In order to remain eligible for the funds, the state must maintain a previously established level of effort. Beginning with FY 1998, the state's share of expenses comes from 870-622, Public Utilities Fund, a state special revenue line created by H.B. 215. Prior to that time, the state's share came from the 871-499 State Match line item in the GRF.

350 870-608 MOTOR CARRIER SAFETY

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$2,946,952	\$2,678,936	\$2,648,921	\$2,691,246	\$3,467,442	\$3,631,606
	-9.1%	-1.1%	1.6%	28.8%	4.7%

**Source:** CDFA 20,218, Motor Carrier Safety Assistance Program (Federal Highway Administration)

**Legal Basis:** originally established by Controlling Board in 1984

**Purpose:** Funds are used to administer the Motor Carrier Safety Assistance Program (MCSAP) involving the safe operation of commercial motor vehicles. The program, originally authorized by the Surface Transportation Act of 1982, began as an inspection program by the Public Utilities Commission. However, with the passage of the Intermodal Surface Transportation Act of 1991, it was expanded to deal with drug addiction and other matters under the purview of the State Highway Patrol. Consequently, some of the moneys received are used to reimburse the State Highway Patrol for expenses it incurs with respect to the program. To receive the grant, the state must contribute 20 percent of total costs and use the funds to enhance the program, not to support existing activities. In FY 1996, PUCO's transportation enforcement division was transferred to the Department of Public Safety. As a result, much of these federal moneys are now directed to Transportation Enforcement Federal, 768-321, Fund 831. Since, however, the PUCO is the primary recipient for the federal funds, this line retains appropriation authority over the entire amount of the federal grant. The PUCO transfers the appropriate amount to DHS to fund the operations of the department's new enforcement division.

**STATE SPECIAL REVENUE FUND GROUP**

## 559 870-605 PUBLIC UTILITIES TERRITORIAL ADMIN.

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$0	\$4,583	\$4,583
	N/A	N/A	N/A	N/A	0.0%

**Source:** assessments paid by public utility companies

**Legal Basis:** ORC 4933.89 (originally established by Controlling Board during FY 1981, through Am. H.B. 577 of the 112th G.A.)

**Purpose:** Funds the costs incurred by the commission in drawing and mapping service boundary lines.

## 561 870-606 POWER SITING BOARD

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$259,081	\$273,777	\$339,238	\$370,817	\$300,000	\$300,000
	5.7%	23.9%	9.3%	-19.1%	0.0%

**Source:** fees submitted with applications for a certificate of environmental compatibility and public need

**Legal Basis:** ORC 4906.06 (originally established by Am. Sub. H.B. 291 of the 115th G.A.)

**Purpose:** Provides operating funds for the Power Siting Board. Am. Sub H.B. 694 of the 114th G.A transferred the board to the PUCO in FY 1982. It had previously functioned as an independent agency. The line item receives fees submitted with applications for a certificate of environmental compatibility and public need. A public utility must have such a certificate before constructing or expanding major utility facilities.

## 560 870-607 SPECIAL ASSESSMENT

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$116,873	\$17,280	\$5,482	\$1,558	\$100,000	\$100,000
	-85.2%	-68.3%	-71.6%	6318.5%	0.0%

**Source:** a special assessment levied upon the utility or utilities under investigation (assessment is set at such a level as to cover the cost of investigation)

**Legal Basis:** ORC 4903.24

**Purpose:** Funds in this line item are used to conduct large-scale investigations of a public utility when the investigation or the results of the investigation apply to a specific company. In FY 1994 the funds were used to pay for an analysis of Ohio Bell's alternative regulation plan.

587 870-609 UTILITY FORECASTING

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$1,190,387	\$848,293	\$1,079,180	\$990,820	\$1,295,000	\$1,295,000
	-28.7%	27.2%	-8.2%	30.7%	0.0%

**Source:** assessments levied on utility companies that operate in Ohio

**Legal Basis:** ORC 4933.04

**Purpose:** Supports the commission's long-term demand forecasting activities. The long-term forecasting unit analyzes the 10-year forecasts prepared by Ohio utilities to determine their ability to meet future energy needs. Prior to the enactment of Am. Sub. H.B. 100 of the 115th G.A., this line item was under the Department of Energy. The line item was moved to the Department of Development (as line item 195-620) upon the abolishment of the Department of Energy. Under Am. Sub. H.B. 381 of the 116th General Assembly, responsibility for long-term forecasting, and thus this line item, was transferred to the PUCO.

638 870-611 BIOFUELS/MUNICIPAL WASTE TECHNOLOGY

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$32,396	\$44,161	\$59,022	\$65,935	\$67,641
	N/A	36.3%	33.7%	11.7%	2.6%

**Source:** grant moneys from the Council of Great Lake Governors, Inc., a Minnesota-based nonprofit corporation which operates a seven-state biomass energy program in the Great Lakes region for the U.S. Department of Energy

**Legal Basis:** originally established by Controlling Board on January 11, 1988

**Purpose:** Funds the Ohio Biofuels Waste Technology Program which promotes the use of biofuels and municipal waste for energy development and substitution for fossil fuels.

661 870-612 HAZARDOUS MATERIALS TRANSPORTATION

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$274,429	\$509,807	\$570,647	\$595,552	\$800,000	\$800,000
	85.8%	11.9%	4.4%	34.3%	0.0%

**Source:** up to \$800,000 annually in fines and civil forfeitures assessed against hazardous materials transporters (prior to the passage of H.B. 647 of the 120th G.A., these funds were deposited in the GRF; amounts in excess of \$800,000 will continue to be deposited into the GRF)

**Legal Basis:** ORC 4905.80 (originally established by Am. Sub. H.B. 428 of the 117th G.A., substantially amended by H.B. 647 of the 120th G.A.)

**Purpose:** Funds emergency response training and other hazardous materials training programs throughout the state, with 50 percent going to Cleveland State University for its training program for public safety and emergency services personnel and 50 percent going to other educational institutions, state agencies, and political subdivisions for similar programs. The line was originally created to fund the hazardous materials activities of PUCO's transportation division. It was funded by the registration fees of transporters of hazardous materials registering in Ohio. With the passage of H.B. 647 of the 120th G.A. (effective date 7/22/94), the registration fees are now deposited into the Hazardous Materials Registration Fund (Fund 4S6), a new fund created by H.B. 647.

664 870-613 RADIOLOGICAL PREPAREDNESS BOARD

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$1,050,198	\$1,123,530	\$1,184,597	\$1,214,080	\$50,000	\$0
	7.0%	5.4%	2.5%	-95.9%	-100.0%

**Source:** annual assessments against nuclear electric utilities in the state (any assessments deposited into this line item which are not expended each year must be credited to each nuclear electric utility that paid them)

**Legal Basis:** ORC 4937.05 (originally established by Am. Sub. H.B. 111 of the 118th G.A.)

**Purpose:** This line item provides funding to the six members of the Utility Radiological Safety Board (URSB): (1) PUCO; (2) the Emergency Management Agency; (3) the Department of Health; (4) the Department of Agriculture; (5) the Environmental Protection Agency; and (6) the Department of Commerce. The URSB supports various activities intended to ensure that nuclear power plants are operated safely and that contingency plans are prepared in the event of a nuclear accident. Am. Sub. H.B. 215 phases out PUCO's involvement with the funding of this program.

4A3 870-614 GRADE CROSSNG PROTECTN DEVICE-STATE

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$1,626,039	\$1,868,293	\$1,202,792	\$1,423,493	\$3,166,760	\$1,200,000
	14.9%	-35.6%	18.3%	122.5%	-62.1%

**Source:** \$1.2 million per year from the state gasoline tax

**Legal Basis:** ORC 5523.31 (originally established by Am. Sub. H.B. 111 of the 118th G.A., which transferred the appropriation for 770-750, Grade Crossing Protection Devices - State, from the Department of Transportation to this Public Utilities Commission line item 870-614, Grade Crossing Protection Devices - State)

**Purpose:** The funds in this line item are used to provide warning devices at rail-highway crossings, pursuant to section 5523.31 of the Revised Code. These devices include flasher lights and gates. This line receives \$1.2 million each year from the state gasoline tax, to provide preliminary funding for upgrades or funding for which federal funds cannot be used (such as, to cover preliminary engineering costs). The upgrades are undertaken by the railroads; and the PUCO reimburses them for the expenditure when the project is complete. Expenditures in excess of \$1.2 million in any year may be incurred as projects begun in prior years are completed, and the railroads are reimbursed for the expenses.

4L8 870-617 PIPELINE SAFETY-STATE

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$39,776	\$121,307	\$92,392	\$150,318	\$150,318
	N/A	205.0%	-23.8%	62.7%	0.0%

**Source:** assessments against gas and natural gas pipeline operators and deposited into the Pipeline Safety Fund (individual assessments are based on the total amount of gas supplied during the calendar year preceding the assessment; assessments are to be made in October of each year and the total amount assessed depends on the appropriation level received by the PUCO in order to administer the program)

**Legal Basis:** ORC 4905.92 (originally established by Am. Sub. H.B. 365 of the 119th G.A.)

**Purpose:** To administer the pipeline safety code for all gas and natural gas pipeline operators in the state, and to finance PUCO's duties and responsibilities under the program. All of the moneys deposited in the fund are to be used exclusively for the administration and enforcement of the pipeline safety code.

4S6 870-618 HAZARDOUS MATERIAL REGISTRATION

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$83,360	\$313,321	\$282,051	\$589,960	\$584,599
	N/A	275.9%	-10.0%	109.2%	-0.9%

**Source:** fees collected under the program for the uniform registration and permitting of persons engaged in the highway transportation of hazardous materials in Ohio - (1) a \$50 per-carrier processing fee and (2) and apportioned per-truck registration fee (in the first year, FY 1995, the operations were funded by the \$50 per-carrier fee and a federal grant of \$40,000)

**Legal Basis:** ORC 4905.80 (originally established by Sub. H.B. 647 of the 120th G.A.)

**Purpose:** To enforce the Hazardous Materials Transportation Law (sections 4905.80 through 4905.83 of the Revised Code.) This program is to be devised in accordance with the Hazardous Materials Transportation Uniform Safety Act of 1990. The act calls for the eventual establishment of a base-state-type system of registering hazardous materials transporters in the U.S. Prior to the enactment of H.B. 647, registration fees were based on the weight of hazardous materials carried in or through the state during the year preceding that for which registration is sought. The fees were deposited into Fund 661. The fund's revenues were distributed as follows: (1) Up to 10 percent of the registration fees to administer and enforce PUCO rules governing the transportation of hazardous materials by public highway or railroad; (2) 50 percent to Cleveland State University for its training program for public safety and emergency services personnel; and (3) the remainder to other educational institutions, state agencies, and political subdivisions for similar programs. The registration fees received by Fund 4S6 now cover only (1) above. The training programs, which are still funded out of Fund 661, are to be supported by fines and claims against transporters of hazardous materials. (See 870-612 - Hazardous Materials Transportation, above.)

4T1 870-619 HAZARDOUS WASTES BACKGROUND INVEST.

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$204,588	\$54,452	\$30,434	\$0	\$0
	N/A	-73.4%	-44.1%	-100.0%	N/A

**Source:** persons applying for a permit

**Legal Basis:** ORC 4905.80 (originally established by Sub. H.B. 647 of the 120th G.A.)

**Purpose:** To fund the background investigations of hazardous waste transporters in Ohio, pursuant to granting them "uniform permits" to either pick up or deliver hazardous wastes in Ohio.

4U8 870-620 CIVIL FORFEITURES

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$33,927	\$136,016	\$225,000	\$230,731
	N/A	N/A	300.9%	65.4%	2.5%

**Source:** forfeitures

**Legal Basis:** originally established by Am. Sub. H.B. 117 of the 121st G.A.

**Purpose:** Funds the administrative costs of the civil forfeitures program created in Am Sub. H.B. 117 of the 121st General Assembly. The program centralizes with the PUCO the collection of civil forfeitures from motor carriers found to be in violation of state and federal safety rules and regulations. Parts of the forfeitures are to be deposited into Fund 4U8, Transportation Enforcement, to fund the costs of administering this program. Revenues in excess of the appropriation to the 870-620 line item are to be deposited into the GRF.

4S6 870-621 HAZARDOUS MATERIALS BASE STATE REG.

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$109,832	\$234,215	\$185,040	\$190,221
	N/A	N/A	113.2%	-21.0%	2.8%

**Source:** registration fees of hazardous material carriers who register in the State of Ohio

**Legal Basis:** originally established by Am. Sub. H.B. 117 of the 121st G.A.

**Purpose:** To receive and disburse funds received under a base-state registration program for hazardous material carriers. Under this type of program, carriers who operate in more than one state can register for all states in their home state. This fund receives those registration fees that are ultimately to be transferred to other states. (Fees collected on behalf of the state of Ohio are deposited in the 870-618 - Hazardous Materials Registration - Fund 4S6.)

5F6 870-622 PUBLIC UTILITIES FUND

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$0	\$21,866,815	\$22,411,707
	N/A	N/A	N/A	N/A	2.5%

**Source:** assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission (the total assessment in any year is equal to that year's appropriation minus any lapses from the previous year)

**Legal Basis:** ORC 4905.10 (established by Am. Sub. H.B. 215 of the 122nd G.A.)

**Purpose:** Funds activities related to the regulation of investor-owned telephone, electric, gas, water and sewer utilities. Also funds the commission's regulation of railroads.

5F6 870-624 NARUC/NRRI SUBSIDY

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$0	\$158,515	\$158,515
	N/A	N/A	N/A	N/A	0.0%

**Source:** Assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission

**Legal Basis:** Sub. H.B. 215

**Purpose:** Funds PUCO's share of an assessment levied by the National Association of Regulatory Utility Commissioners (NARUC) to support the National Regulatory Research Institute (NRRI) at The Ohio State University. The fee is based on a percentage of utilities' operating revenues by class of utility.

5F6 870-625 MOTOR TRANSPORTATION REGULATION

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$0	\$0	\$0	\$0	\$3,690,945	\$3,785,713
	N/A	N/A	N/A	N/A	2.6%

**Source:** Revenues are derived from taxes on intrastate motor carriers and fees of motor carriers registering to operate within the state via the Base State Motor Carrier registration program

**Legal Basis:** ORC 4923.12 (established by Am. Sub. H.B. 215)

**Purpose:** Funds in this line item support activities related to the enforcement of statutes, rules and regulations governing transportation companies (bus and motor carriers) operating within the state.

**HOLDING ACCOUNT REDISTRIBUTION FUND GROUP**

R20 870-610 MOTOR CARRIER REFUNDS

FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999
\$17,766	\$155,386	\$74,377	\$58,170	\$200,000	\$200,000
	774.6%	-52.1%	-21.8%	243.8%	0.0%

**Source:** motor company registration fees

**Legal Basis:** originally established by Am. Sub. H.B. 201 of the 116th G.A.

**Purpose:** The account receives those trucking company registration fees whose disposition is uncertain at the time of receipt. The moneys are held in the fund until its proper disposition is determined. Prior to FY 1986, the function of this fund was performed by the Depository Trust Fund, which was abolished by Am. Sub. H.B. 201 of the 116th G.A.

**AGENCY FUND GROUP**

4G4 870-616 BASE STATE REGISTRATION PROGRAM

<b>FY 1994</b>	<b>FY 1995</b>	<b>FY 1996</b>	<b>FY 1997</b>	<b>FY 1998</b>	<b>FY 1999</b>
\$5,019,429	\$7,182,323	\$6,311,333	\$6,054,763	\$7,500,000	\$7,500,000
	43.1%	-12.1%	-4.1%	23.9%	0.0%

**Source:** registration fees that are ultimately to be transferred to other states

**Legal Basis:** ORC 4923.12 (originally established by Controlling Board in October 1991, pursuant to Sub. H.B. 715 of the 120th G.A.)

**Purpose:** Allows Ohio to participate in the Single State Registration Program (formerly known as the Base State Registration Program). The program allows trucking companies based in participating states to register with the ICC from their base state, in order to operate in other states, as well. This fund receives those registration fees that are ultimately to be transferred to other states. (Those fees collected on behalf of the state of Ohio wherever collected are deposited in the state GRF.)