

Public Utilities Commission of Ohio

General Services Fund Group

558 870-602 Salvage & Exchange

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 72,773	\$ 33,992	\$ 0	\$ 29,005	\$ 32,474	\$ 33,285
	-53.3%	-100.0%	N/A	12.0%	2.5%

Source: General Services Fund Group: Proceeds from the sale of photocopies, publications, agenda subscriptions, recycled paper, salvaged furniture, automobiles and equipment, and employee parking

Legal Basis: Section 91 of Am. Sub. H.B. 94 of the 124th G.A. (originally established by the Controlling Board in 1972)

Purpose: Funds are used to purchase equipment and to produce publications for both the utilities regulation division and the transportation division.

5F6 870-622 Utility & Railroad Regulation

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 21,922,881	\$ 22,400,458	\$ 25,293,634	\$ 26,480,155	\$ 29,104,298	\$ 30,622,222
	2.2%	12.9%	4.7%	9.9%	5.2%

Source: General Services Fund Group: Assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission (the total assessment in any year is equal to that year's appropriation minus any lapses from the previous year)

Legal Basis: ORC 4905.10 (established by Am. Sub. H.B. 215 of the 122nd G.A.)

Purpose: Funds activities related to the regulation of investor-owned telephone, electric, gas, water and sewer utilities. Also funds the commission's regulation of railroads.

5F6 870-624 NARUC/NRRI Subsidy

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 158,515	\$ 158,515	\$ 167,233	\$ 167,233	\$ 167,233	\$ 167,233
	0.0%	5.5%	0.0%	0.0%	0.0%

Source: General Services Fund Group: Assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission

Legal Basis: Section 91 of Am. Sub. H.B. 94 of the 124th G.A. (originally established by Am. Sub. H.B. 215 of the 122nd G.A.; in prior years these funds were deposited in the GRF to the credit of line item 870-501, NARUC/NRRI Subsidy, which was originally created by Controlling Board in 1982)

Purpose: Funds PUCO's share of an assessment levied by the National Association of Regulatory Utility Commissioners (NARUC) to support the National Regulatory Research Institute (NRRI) at The Ohio State University. The fee is based on a percentage of utilities' operating revenues by class of utility.

Public Utilities Commission of Ohio

5F6 870-625 Motor Transportation Regulation

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 3,611,309	\$ 3,281,479	\$ 3,776,082	\$ 4,161,380	\$ 4,578,771	\$ 4,811,239
	-9.1%	15.1%	10.2%	10.0%	5.1%

Source: General Services Fund Group: Revenues are derived from taxes on intrastate motor carriers and fees of motor carriers registering to operate within the state via the Base State Motor Carrier registration program

Legal Basis: ORC 4923.12 (established by Am. Sub. H.B. 215 of the 122nd G.A.)

Purpose: Funds in this line item support activities related to the enforcement of statutes, rules and regulations governing transportation companies (bus and motor carriers) operating within the state. Beginning in FY 2000, this line also includes \$200,000 per year in "transfer and other" appropriation authority to handle motor carrier registration fees whose disposition is uncertain at the time of their receipt. Such funds were formerly deposited in Fund R20 and appropriated via line item 870-610, Motor Carrier Refunds. That line has been discontinued.

Federal Special Revenue Fund Group

333 870-601 Gas Pipeline Safety

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 282,925	\$ 250,041	\$ 387,776	\$ 391,377	\$ 461,920	\$ 485,332
	-11.6%	55.1%	0.9%	18.0%	5.1%

Source: Federal Special Revenue Fund Group: CFDA 20.700, Pipeline Safety

Legal Basis: Section 91 of Am. Sub. H.B. 94 of the 124th G.A. (originally established by Controlling Board in FY 1973)

Purpose: Contains operating funds for the Gas Pipeline Safety program. The program was originally authorized by the Natural Gas Pipeline Safety Act of 1968 and more recently by the Pipeline Safety Act of 1992. The line item receives reimbursements from the federal government amounting to 50 percent of the costs of operating the program. In order to remain eligible for the funds, the state must maintain a previously established level of effort. Since FY 1998, the state's share of expenses has come from line item 870-622, Utility and Railroad Regulation. Prior to that time, the state's share came from the 871-499 State Match line item in the GRF. In FY 1998 a grant to support the "one-call program" was added to this line. The one-call program educates the public about the dangers of digging near utility lines. The Commission received a grant of \$44,000 for this purpose in FY 1998.

Public Utilities Commission of Ohio

350 870-608 Motor Carrier Safety

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 2,702,288	\$ 4,201,868	\$ 3,376,533	\$ 3,977,680	\$ 6,749,153	\$ 7,027,712
	55.5%	-19.6%	17.8%	69.7%	4.1%

Source: Federal Special Revenue Fund Group: CFDA 20.218, Motor Carrier Safety Assistance Program (Federal Highway Administration)

Legal Basis: Section 91 of Am. Sub. H.B. 94 of the 124th G.A. (originally established by Controlling Board in 1984)

Purpose: Funds are used to administer the Motor Carrier Safety Assistance Program (MCSAP) involving the safe operation of commercial motor vehicles. The program, originally authorized by the Surface Transportation Act of 1982, began as an inspection program by the Public Utilities Commission. However, with the passage of the Intermodal Surface Transportation Act of 1991, it was expanded to deal with drug interdiction and other matters under the purview of the State Highway Patrol. To receive the grant, the state must contribute 20 percent of total costs and use the funds to enhance the program, not to support existing activities. In FY 1996, PUCO's transportation enforcement division was transferred to the Department of Public Safety. As a result, much of these federal moneys are now directed to Transportation Enforcement Federal, 764-659, Fund 831. Since, however, the PUCO is the primary recipient for the federal funds, this line retains appropriation authority over the entire amount of the federal grant. The PUCO transfers the appropriate amount to the Department of Public Safety to fund the operations of the department's enforcement division.

3V3 870-604 Commercial Vehicle Information Systems/Networks

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 0	\$ 0	\$ 0	\$ 0	\$ 2,500,000	\$ 0
	N/A	N/A	N/A	N/A	-100.0%

Source: Federal Special Revenue Fund Group: CFDA 20.205, Commercial Vehicle Information Systems/Networks (Highway Planning and Construction)

Legal Basis: Originally established by Section 91 of Am. Sub. H.B. 94 of the 124th G.A.

Purpose: Funds will be used to improve highway safety through electronic technologies. Funding will allow PUCO to conduct safety audits and inspections of commercial motor carriers. Commercial Vehicle Information Systems/Networks essentially comprise information system elements that support commercial vehicle operations (CVO). This includes information systems owned and operated by governments, motor carriers, and other stakeholders. CVISN is not a new information system, but rather a way for existing systems to exchange information through the use of standards and the use of commercially available communications infrastructure. CVISN will enable government agencies, the motor carrier industry, and other parties engaged in CVO safety and regulation to exchange information and conduct business transactions electronically. PUCO is the administrative lead agency in the business plan development effort. The departments of Taxation, Public Safety (Bureau of Motor Vehicles and State Highway Patrol), and Transportation, as well as the Ohio Trucking Association are participating in the project.

State Special Revenue Fund Group

4A3 870-614 Grade Crossing Protection Devices-State

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 1,983,841	\$ 900,957	\$ 520,640	\$ 1,146,424	\$ 1,311,986	\$ 1,349,757
	-54.6%	-42.2%	120.2%	14.4%	2.9%

Source: State Special Revenue Fund Group: \$1.2 million per year from the state gasoline tax

Legal Basis: ORC 4907.471 (Am. Sub. H.B. 111 of the 118th G.A. transferred the legal basis from ORC 5523.31, and transferred the appropriation for 770-750, Grade Crossing Protection Devices - State, from the Department of Transportation to this Public Utilities Commission line)

Purpose: The funds in this line item are used to provide warning devices at rail-highway crossings, pursuant to section 4907.471 of the Revised Code. These devices include flasher lights and gates. This line receives \$1.2 million each year from the state gasoline tax, to provide preliminary funding for upgrades or funding for which federal funds cannot be used (such as, to cover preliminary engineering costs). The upgrades are undertaken by the railroads, and the PUCO reimburses them for the expenditure when the project is complete. Expenditures in excess of \$1.2 million in any year may be incurred as projects begun in prior years are completed, and the railroads are reimbursed for the expenses.

4L8 870-617 Pipeline Safety-State

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 134,774	\$ 140,589	\$ 146,098	\$ 143,321	\$ 177,323	\$ 187,621
	4.3%	3.9%	-1.9%	23.7%	5.8%

Source: State Special Revenue Fund Group: Assessments against gas and natural gas pipeline operators and deposited into the Pipeline Safety Fund (individual assessments are based on the total amount of gas supplied during the calendar year preceding the assessment; assessments are made in October of each year and the total amount assessed depends on the appropriation level received by the PUCO in order to administer the program)

Legal Basis: ORC 4905.92 (originally established by Am. Sub. H.B. 365 of the 119th G.A.)

Purpose: To administer the pipeline safety code for all gas and natural gas pipeline operators in the state and to finance PUCO's duties and responsibilities under the program. All of the moneys deposited in the fund are to be used exclusively for the administration and enforcement of the pipeline safety code.

Public Utilities Commission of Ohio

4S6 870-618 Hazardous Material Registration

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 258,009	\$ 499,058	\$ 385,578	\$ 319,363	\$ 449,927	\$ 464,325
	93.4%	-22.7%	-17.2%	40.9%	3.2%

Source: State Special Revenue Fund Group: Fees collected under the program for the uniform registration and permitting of persons engaged in the highway transportation of hazardous materials in Ohio - (1) a \$50 per-carrier processing fee and (2) an apportioned per-truck registration fee (in the first year, FY 1995, the operations were funded by the \$50 per-carrier fee and a federal grant of \$40,000)

Legal Basis: ORC 4905.80 (originally established by Sub. H.B. 647 of the 120th G.A.)

Purpose: To enforce the Hazardous Materials Transportation Law (sections 4905.80 through 4905.83 of the Revised Code.) This program was devised in accordance with the Hazardous Materials Transportation Uniform Safety Act of 1990. The act calls for the eventual establishment of a base-state-type system of registering hazardous materials transporters in the U.S.

4S6 870-621 Hazardous Materials Base State Registration

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 263,860	\$ 298,845	\$ 257,745	\$ 339,455	\$ 364,240	\$ 373,346
	13.3%	-13.8%	31.7%	7.3%	2.5%

Source: State Special Revenue Fund Group: Registration fees of hazardous material carriers who register in the State of Ohio

Legal Basis: ORC 4905.80 (originally established by Am. Sub. H.B. 117 of the 121st G.A.)

Purpose: Receives and disburses funds received under a base-state registration program for hazardous material carriers. Under this type of program, carriers who operate in more than one state can register for all states in their home state. This fund receives those registration fees that are ultimately to be transferred to other states. (Fees collected on behalf of the state of Ohio are deposited in the 870-618 - Hazardous Materials Registration - Fund 4S6).

Public Utilities Commission of Ohio

4U8 870-620 Civil Forfeitures

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 188,804	\$ 168,674	\$ 192,055	\$ 219,554	\$ 269,426	\$ 284,986
	-10.7%	13.9%	14.3%	22.7%	5.8%

Source: State Special Revenue Fund Group: Forfeitures

Legal Basis: ORC 4923.12(c) (originally established by Am. Sub. H.B. 117 of the 121st G.A.)

Purpose: Funds the administrative costs of the civil forfeitures program created in Am. Sub. H.B. 117 of the 121st G.A. The program centralizes with the PUCO the collection of civil forfeitures from motor carriers found to be in violation of state and federal safety rules and regulations. A portion of the forfeitures is deposited into Fund 4U8, Transportation Enforcement, to fund the costs of administering this program. Revenues in excess of the appropriation to the 870-620 line item are deposited into the GRF.

559 870-605 Public Utilities Territorial Administration

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 0	\$ 0	\$ 0	\$ 0	\$ 4,000	\$ 4,000
	N/A	N/A	N/A	N/A	0.0%

Source: State Special Revenue Fund Group: Assessments paid by electric companies to cover expenses incurred in resolving boundary disputes

Legal Basis: ORC 4933.89 (originally established by Controlling Board during FY 1981, through Am. H.B. 577 of the 112th G.A.)

Purpose: Funds the costs incurred by the commission in drawing and mapping service boundary lines. Revenues are received only when the commission is required to settle a boundary dispute between electric companies. It is difficult to predict when that might occur. No reimbursements for such disputes have been made since FY 1990.

560 870-607 Special Assessment

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 0	\$ 26,188	\$ 11,710	\$ 92,378	\$ 100,000	\$ 100,000
	N/A	-55.3%	688.9%	8.3%	0.0%

Source: State Special Revenue Fund Group: A special assessment levied upon the utility or utilities under investigation (assessment is set at such a level as to cover the cost of investigation). As the costs in an investigation are incurred, the PUCO usually seeks reimbursement on a monthly basis.

Legal Basis: ORC 4903.24

Purpose: Funds in this line item are used to conduct large-scale investigations of a public utility when the investigation or the results of the investigation apply to a specific company.

Public Utilities Commission of Ohio

561 870-606 Power Siting Board

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 193,802	\$ 89,656	\$ 265,923	\$ 262,573	\$ 319,839	\$ 337,210
	-53.7%	196.6%	-1.3%	21.8%	5.4%

Source: State Special Revenue Fund Group: Fees submitted with applications for a certificate of environmental compatibility and public need plus expenses incurred in processing applications. Utilities are billed annually for expenses incurred in the prior year.

Legal Basis: ORC 4906.06 (originally established by Am. Sub. H.B. 291 of the 115th G.A.)

Purpose: Provides operating funds for the Power Siting Board. Am. Sub. H.B. 694 of the 114th G.A. transferred the board to the PUCO in FY 1982. It had previously functioned as an independent agency. The line item receives fees submitted with applications for a certificate of environmental compatibility and public need. A public utility must have such a certificate before constructing or expanding major utility facilities. The board is empowered to approve or disapprove applications for such a certificate. Since the enactment of Am. Sub. S.B. 3 of the 123rd G.A., however, ORC 4906.10 has specified that the board shall presume the public need for a new electric generation facility as that need is stated in the application.

587 870-609 Utility Forecasting

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 942,941	\$ 991,477	\$ 95	\$ 0	\$ 0	\$ 0
	5.1%	-100.0%	-100.0%	N/A	N/A

Source: State Special Revenue Fund Group: Assessments levied on electric and natural gas utilities that operate in Ohio

Legal Basis: Discontinued line item - ORC 4935.04

Purpose: Supported the commission's long-term demand forecasting activities. Ohio electric and natural gas utilities are required to file 10-year forecasts with the Commission. Each report details the utility's long-term forecast of demand and its supply plans to meet that demand. Prior to Am. Sub. H.B. 283 of the 123rd G.A., the PUCO, within its long-term forecasting unit, analyzed the forecasts prepared by the utilities to evaluate their ability to meet future energy needs, and the unit's costs were supported by this line. H.B. 283 discontinued this line item and transferred any encumbrances to Fund 5F6, Public Utilities Fund. The functions formerly performed by the long-term forecasting unit are now performed within the Market Monitoring and Assessment Division of the Utilities Department of the PUCO. Any general expenses related to utility forecasting are funded out of Utilities and Railroad Regulation, 870-622. However, special case-specific forecasting expenses could be funded via Special Assessment, 870-607.

Public Utilities Commission of Ohio

638 870-611 Biomass Energy Program

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 20,643	\$ 54,696	\$ 48,358	\$ 18,707	\$ 40,000	\$ 40,000
	165.0%	-11.6%	-61.3%	113.8%	0.0%

Source: State Special Revenue Fund Group: Grant moneys from the Council of Great Lake Governors, Inc., a Minnesota-based nonprofit corporation which operates a seven-state biomass energy program in the Great Lakes region for the U.S. Department of Energy

Legal Basis: Section 91 of Am. Sub. H.B. 94 of the 124th G.A. (originally established by Controlling Board on January 11, 1988)

Purpose: Funds the Ohio Biomass Energy Program which promotes the use of biofuels and municipal waste for energy development and substitution for fossil fuels. Before Am. Sub. H.B. 94 of the 124th G.A., this line was named "Biofuels/Municipal Waste Technology Program". The name was changed to more accurately reflect the use of the fund.

661 870-612 Hazardous Materials Transportation

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 684,177	\$ 548,085	\$ 994,810	\$ 1,007,136	\$ 800,000	\$ 800,000
	-19.9%	81.5%	1.2%	-20.6%	0.0%

Source: State Special Revenue Fund Group: Up to \$800,000 annually in fines and civil forfeitures assessed against hazardous materials transporters (prior to the passage of H.B. 647 of the 120th G.A., these funds were deposited in the GRF; amounts in excess of \$800,000 will continue to be deposited into the GRF)

Legal Basis: ORC 4905.80 (originally established by Am. Sub. H.B. 428 of the 117th G.A., substantially amended by H.B. 647 of the 120th G.A.)

Purpose: Funds emergency response training and other hazardous materials training programs throughout the state. In the past 50 percent has gone to Cleveland State University for its training program for public safety and emergency services personnel and 50 percent has been allocated to other educational institutions, state agencies, and political subdivisions for similar programs. H.B. 283 of the 123rd G.A. revised the percentage going to "other purposes." It allocates 5 percent of the total to the PUCO for administration and training, with the remaining 45 percent going to other programs. The Cleveland State University program would still receive \$400,000 a year or 50 percent of the total (but no less than \$200,000).

Public Utilities Commission of Ohio

Agency Fund Group

4G4 870-616 Base State Registration Program

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 6,228,084	\$ 5,989,159	\$ 6,026,204	\$ 5,884,925	\$ 6,500,000	\$ 6,500,000
	-3.8%	0.6%	-2.3%	10.5%	0.0%

Source: Agency Fund Group: Registration fees that are ultimately to be transferred to other states

Legal Basis: ORC 4923.12 (originally established by Controlling Board in October 1991, pursuant to Sub. H.B. 715 of the 120th G.A.)

Purpose: Allows Ohio to participate in the Single State Registration Program (formerly known as the Base State Registration Program). The program allows trucking companies based in participating states to register with the Interstate Commerce Commission (ICC) from their base state, in order to operate in other states as well. This fund receives those registration fees that are ultimately to be transferred to other states. (Those fees collected on behalf of the state of Ohio, wherever collected, are deposited in the Fund 5F6, Public Utility Fund.) Currently, 38 states (including Ohio) participate in the program.

Holding Account Redistribution Fund Group

R20 870-610 Motor Carrier Refunds

1998 Actual	1999 Actual	2000 Actual	2001 Actual	2002 Appropriation	2003 Appropriation
\$ 46,890	\$ 34,088	\$ 0	\$ 0	\$ 0	\$ 0
	-27.3%	-100.0%	N/A	N/A	N/A

Source: Holding Account Redistribution Fund Group: Motor company registration fees

Legal Basis: Discontinued line item (originally established by Am. Sub. H.B. 201 of the 116th G.A.)

Purpose: The account received those trucking company registration fees whose disposition was uncertain at the time of receipt. The moneys were held in the fund until their proper disposition was determined. H.B. 283 of the 123rd G.A. discontinued this line item. The moneys are now deposited in Fund 5F6.