

LSC Redbook

Analysis of the Executive Budget Proposal

Board of Speech-Language Pathology and Audiology

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ATTACHMENTS:

- Catalog of Budget Line Items
- Budget Spreadsheet By Line Item

Board of Speech- Language Pathology and Audiology

- Non-GRF agency; funded by fee revenues
- Continuation budget
- Over 6,900 active licensees

OVERVIEW

Agency Overview

The Board of Speech-Language Pathology and Audiology was established in 1975. The Board licenses speech-language pathologists and audiologists and establishes standards for education, pre-professional training, and examination. The Board also sets standards of practice for speech-language pathologists and audiologists, investigates complaints, holds administrative hearings, determines appropriate disciplinary actions, and monitors continuing education compliance among licensees. Over 6,900 licenses issued by the Board are currently active.

The Board's governing authority consists of eight members appointed by the Governor, including three speech-language pathologists, three audiologists, and two public members, one of which must be at least 60 years of age. Members are appointed for three-year terms and may serve two consecutive terms. The Board meets about nine times a year. In addition to travel reimbursement, board members receive annual compensation of about \$1,700 for the performance of official board business.

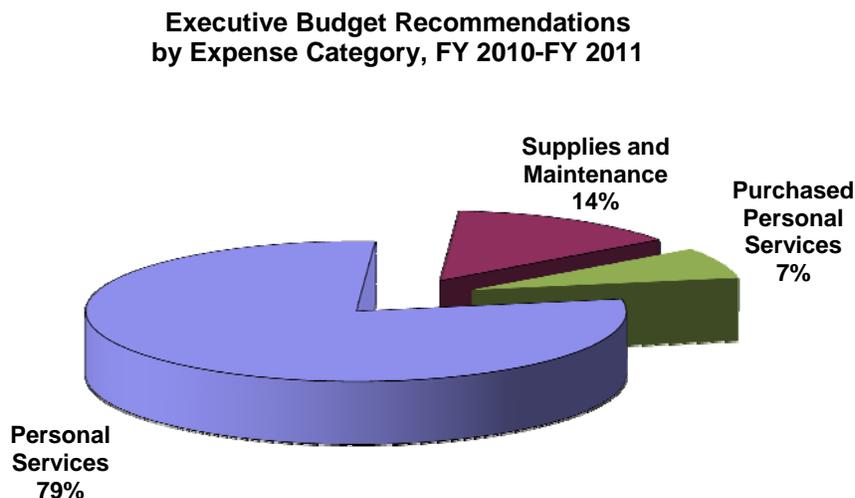
The Board's daily operations are the responsibility of an executive director who is appointed by the eight-member governing authority. Including the Executive Director, the Board has four full-time employees with an annual budget of \$453,000 in FY 2009. The Board receives no General Revenue Fund (GRF) money; it is completely supported by fees.

For the FY 2010-FY 2011 biennium, licensing and enforcement activities will continue to be a priority. The Board also plans to increase communication with licensees about the practice of speech-language pathology and audiology through conference presentations, e-mails, and quarterly newsletters.

Appropriation Overview

The executive recommends flat funding at the FY 2009 level of \$453,000 for FY 2010 and FY 2011. The Board will be able to maintain its current operations, including its four employees, in the next biennium.

As a regulatory agency, personal services is the largest expense category of the Board. As seen in the chart, 79% of the executive budget recommendations for the biennium are for personal services, 14% for supplies and maintenance, and 7% for purchased personal services.



As with many other licensing boards and commissions, the Board receives centralized administrative support services provided by the Central Service Agency (CSA) of the Department of Administrative Services. Services made available through CSA include items such as budget development, Controlling Board request preparation assistance, management consultation, procurement, fiscal processing, human resources, and payroll. These centralized services help increase overall efficiency. The Board pays CSA for services rendered. In FY 2008, the Board paid \$49,101 in CSA charges. These payments are included in the supplies and maintenance category.

Fee Revenues and Fund 4K90

The Board issues speech-language pathologist and audiologist licenses. Table 1 below shows the current fee amount for each type of license. Licenses issued by the Board are required to be renewed biennially. In addition to the licenses listed in the table, the Board also issues nonresident temporary practice licenses at no cost. Application and license renewal fees were last changed in 2006 when they were reduced by \$50.

| License Type | Fee Amount |
|--|-------------------|
| Speech-Language Pathologist or Audiologist Licenses | |
| Initial | \$200 |
| Renewal | \$100 |
| Conditional | \$210 |
| Dual License (Speech-Language Pathologist & Audiologist) | \$150 |
| Speech-Language Pathologist /Audiologist Aide | \$50 |
| Speech-Language Pathology Student Permit | \$50 |

Fee revenues collected by the Board are deposited into the Occupational Licensing Regulatory Fund (Fund 4K90), which was established by H.B. 152 of the 120th General Assembly. About 26 occupational licensing and regulatory boards and commissions, including the Speech-Language Pathology and Audiology Board, use Fund 4K90 as an operating account into which receipts are deposited and from which expenses are paid. Each licensing board or commission is generally expected to be self-sufficient, i.e., generating enough revenue to cover its expenses.

Table 2 below shows the Board's annual revenues and expenditures from FY 2004 through FY 2011, as well as the net of revenues less expenditures. As seen in the table, the Board's expenditures have tended to exceed revenues in even-numbered fiscal years. This is due to license renewal occurring in even-numbered years. According to the Board, revenues and expenditures will break even in FY 2009. This trend is expected to continue through the FY 2010-FY 2011 biennium.

| | FY 2004 | FY 2005 | FY 2006 | FY 2007 | FY 2008 | FY 2009 (estimate) | FY 2010 (estimate) | FY 2011 (estimate) |
|--------------------|----------------|----------------|----------------|----------------|----------------|-------------------------------|-------------------------------|-------------------------------|
| Revenue | \$82,974 | \$952,122 | \$79,449 | \$773,596 | \$88,748 | \$453,000 | \$453,000 | \$453,000 |
| Expenditures | \$387,000 | \$397,732 | \$374,901 | \$433,898 | \$404,790 | \$453,000 | \$453,000 | \$453,000 |
| Net of Rev. & Exp. | (\$304,026) | \$554,390 | (\$295,452) | \$339,698 | (\$316,042) | \$0 | \$0 | \$0 |

ANALYSIS OF EXECUTIVE PROPOSAL

The Board's operations are funded by a single line item appropriation from the General Services Fund Group. Table 3 shows the Governor's recommended funding for the line item.

| Table 3. Governor's Recommended Funding for the Board | | | | |
|--|---------------------|--------------------|----------------|----------------|
| Fund | ALI and Name | | FY 2010 | FY 2011 |
| General Services Fund Group | | | | |
| 4K90 | 878609 | Operating Expenses | \$453,000 | \$453,000 |

Through this one line item, the Board pays all of its operating expenses. The executive recommends flat funding at the FY 2009 level of \$453,000 for FY 2010 and FY 2011. The executive recommendation fully funds the Board's request and will allow the Board to maintain its current operations and staffing level in the FY 2010-FY 2011 biennium.

Licenses

The Board issues several types of speech-language and audiology licenses. Speech-language pathology and audiology licenses are available for individuals who have met academic and pre-professional requirements. Conditional licenses are valid for 18 months and available to individuals during the statutorily required supervision period prior to being eligible for a speech-language pathology or audiology license. A student permit is available to speech-language pathology students in graduate school; it is valid for two years and may be extended one year with Board approval. Table 4 shows the number of active licenses issued by the Board for FY 2008 compared with FY 2007. From FY 2007 to FY 2008, the total number of active licenses issued by the Board increased 5.4%.

| Table 4. Active Licenses* | | | |
|----------------------------------|----------------|----------------|-----------------|
| License Type | FY 2007 | FY 2008 | % Change |
| Speech-Language Pathology | 5,669 | 5,979 | 5.5% |
| <i>License</i> | 5,462 | 5,725 | 4.8% |
| <i>Conditional</i> | 191 | 202 | 5.8% |
| <i>Aide</i> | 16 | 19 | 18.8% |
| <i>Student Permit</i> | 0 | 33 | — |
| Audiology | 957 | 1,007 | 5.2% |
| <i>License</i> | 874 | 933 | 6.8% |
| <i>Conditional</i> | 3 | 0 | — |
| <i>Aide</i> | 80 | 74 | -7.5% |
| Total | 6,626 | 6,986 | 5.4% |

* Data shown is as of June 30th in each fiscal year.

Licenses issued by the Board expire on December 31 of even-numbered years. As a result, no licenses were renewed during FY 2008. Table 5 shows the number of new licenses issued by the Board during FY 2008. New licenses accounted for 6% of the Board's 6,986 active licenses during that year.

| Table 5. Licenses Issued in FY 2008 | |
|--|------------|
| Type | New |
| Speech-Language Pathology | 365 |
| <i>License</i> | 248 |
| <i>Conditional</i> | 84 |
| <i>Aide</i> | 0 |
| <i>Student Permit</i> | 33 |
| Audiology | 48 |
| <i>License</i> | 36 |
| <i>Conditional</i> | 0 |
| <i>Aide</i> | 12 |
| Total | 413 |

The Board currently utilizes the on-line renewal component of the eLicensing system. The eLicensing system is administered through CSA. Each board that participates in the eLicensing system receives a basic component of the system that includes a database of all licensees and their license status. Boards have the option of purchasing additional components of the system including initial licensure, renewal, image storing and indexing, and complaint tracking. The Board does not intend to add any components to its eLicensing system during the FY 2010-FY 2011 biennium.

Investigation and Enforcement

The Board's regulatory obligations also include investigating complaints about issues of incompetent, unethical, and/or impaired practitioners. All complaints to the Board must be submitted in writing. The complaints are then reviewed to determine whether the Board has the legal authority to take action. The most common complaints have been for unprofessional conduct and unlicensed practice. Of the 14 complaints received in FY 2008, six were for unprofessional conduct and five were for unlicensed practice.

In FY 2008, the Board completed nine investigations. The Board held six disciplinary hearings, entered into one consent agreement, and issued four cease and desist letters. The Board also continues to monitor three licensees on probationary status.

Continuing Education

The Board requires 20 hours of continuing education (CE) on a biennial basis for all license renewals. All CE must be board approved. Ten of the CE hours must be specific to clinical practice in the field of licensure; the remaining ten hours may be acquired in areas related to speech-language pathology or audiology, or both. A list of board-approved programs and activities is posted on the Board's web site (<http://www.slpaud.ohio.gov>).

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General Services Fund Group

4K90 886609 Operating Expenses

| 2006 | 2007 | 2008 | 2009 | 2010 Executive Proposal | 2011 Executive Proposal |
|-----------|-----------|-----------|-----------|----------------------------|----------------------------|
| \$375,950 | \$433,898 | \$404,790 | \$453,000 | \$453,000 | \$453,000 |
| | 15.4% | -6.7% | 11.9% | 0.0% | 0.0% |

Source: GSF: License fees and other assessments collected by the state's professional and occupational licensing boards

Legal Basis: ORC 4753.03 and 4743.05; Section 401.10 of Am. Sub. H.B. 119 of the 127th G.A. (originally established by Am. Sub. H.B. 152 of the 120th G.A.)

Purpose: This appropriation supports the general operating expenses, including payroll, supplies, and equipment for the Board of Speech-Language Pathology and Audiology.

LSC Budget Spreadsheet by Line Item, FY 2010 - FY 2011

| <i>Fund</i> | <i>ALI</i> | <i>ALI Title</i> | <i>2008</i> | <i>2009</i> | <i>As Introduced 2010</i> | <i>% Change 2009 to 2010</i> | <i>As Introduced 2011</i> | <i>% Change 2010 to 2011</i> |
|--|------------|--------------------|-------------------|-------------------|-------------------------------|----------------------------------|-------------------------------|----------------------------------|
| SPE Speech-Language Pathology and Audiology | | | | | | | | |
| 4K90 | 886609 | Operating Expenses | \$ 404,790 | \$ 453,000 | \$ 453,000 | 0.0% | \$ 453,000 | 0.0% |
| General Services Fund Group Total | | | \$ 404,790 | \$ 453,000 | \$ 453,000 | 0.0% | \$ 453,000 | 0.0% |
| Total All Budget Fund Groups | | | \$ 404,790 | \$ 453,000 | \$ 453,000 | 0.0% | \$ 453,000 | 0.0% |