

Public Utilities Commission of Ohio

General Services Fund Group

5F60 870622 Utility and Railroad Regulation

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$31,456,553	\$30,787,160	\$30,197,914	\$30,344,409	\$34,455,627	\$34,455,627
	-2.1%	-1.9%	0.5%	13.5%	0.0%

Source: General Services Fund Group: Assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission. The total assessment in any year is equal to the agency's appropriation to this line item. If the agency's expenditures are less than its appropriation in a given year, the next year's assessment is reduced by the difference.

Legal Basis: ORC 4905.10 (originally established by Am. Sub. H.B. 215 of the 122nd G.A.)

Purpose: This line item funds activities related to the regulation of investor-owned telephone, electric, gas, water and sewer utilities. The item also funds the Commission's regulation of railroads.

5F60 870624 NARUC/NRRI Subsidy

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$147,163	\$147,163	\$111,054	\$93,000	\$158,000	\$158,000
	0.0%	-24.5%	-16.3%	69.9%	0.0%

Source: General Services Fund Group: Assessments against the intrastate revenues of the railroads and utilities regulated by the Public Utilities Commission

Legal Basis: Section 363.10 of Am. Sub. H.B. 1 of the 128th G.A. (originally established by Am. Sub. H.B. 215 of the 122nd G.A.; in prior years these funds were deposited in the GRF to the credit of line item 870501, NARUC/NRRI Subsidy, which was originally created by the Controlling Board in 1982)

Purpose: This line item funds PUCO's share of an assessment levied by the National Association of Regulatory Utility Commissioners (NARUC) to support the National Regulatory Research Institute (NRRI). The fee is based on a percentage of utilities' operating revenues by class of utility.

Public Utilities Commission of Ohio

5F60 870625 Motor Transportation Regulation

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$4,971,196	\$4,254,291	\$4,426,141	\$4,471,817	\$6,071,829	\$6,071,829
	-14.4%	4.0%	1.0%	35.8%	0.0%

Source: General Services Fund Group: Revenues are derived from taxes on intrastate motor carriers and fees of motor carriers registering to operate within the state via the Base State Motor Carrier registration program

Legal Basis: ORC 4923.12 (originally established by Am. Sub. H.B. 215 of the 122nd G.A.)

Purpose: Funds in this line item support activities related to the enforcement of statutes, rules and regulations governing transportation companies (bus and motor carriers) operating within the state. This line item provides matching funds for federal grants funding line items 870604 and 870608. Beginning in FY 2000, this line also includes \$200,000 per year in "transfer and other" appropriation authority to handle motor carrier registration fees whose disposition is uncertain at the time of their receipt. Such funds were formerly deposited in Fund R20 and appropriated via line item 870-610, Motor Carrier Refunds, which has been discontinued.

5Q50 870626 Telecommunications Relay Service

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$0	\$0	\$1,373,225	\$3,685,731	\$5,000,000	\$5,000,000
	N/A	N/A	168.4%	35.7%	0.0%

Source: General Services Fund Group: As of January 1, 2009, the PUCO collects an annual assessment from telecommunication service providers

Legal Basis: ORC 4905.84 (originally authorized by Am. Sub. H.B. 562 of the 127th G.A. Previously, ORC 4905.79 and 5727.44 allowed the relay provider a credit against its corporate franchise tax. Due to tax changes, this funding mechanism expired on January 1, 2008.)

Purpose: The Americans with Disabilities Act mandates an intrastate telecommunications relay service (TRS) for persons with communication disabilities. TRS enables persons with hearing or speech disabilities to communicate by phone in a manner functionally equivalent to someone without such a disability through the use of a text telephone yoke (TTY) or other similar devices. This line item reimburses the service vendor for the costs of providing the service.

Public Utilities Commission of Ohio

Federal Special Revenue Fund Group

3330 870601 Gas Pipeline Safety

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$659,857	\$470,294	\$438,469	\$418,980	\$597,959	\$597,959
	-28.7%	-6.8%	-4.4%	42.7%	0.0%

Source: Federal Special Revenue Fund Group: CFDA 20.700, Pipeline Safety

Legal Basis: ORC 4905.91 (originally established by the Controlling Board in FY 1973)

Purpose: This line item contains operating funds for the Gas Pipeline Safety program. The program was originally authorized by the Natural Gas Pipeline Safety Act of 1968 and more recently by the Pipeline Inspection, Protection, Enforcement, and Safety (PIPES) Act of 2006. The line item receives reimbursements from the federal government amounting to 50% of the costs of operating the program. In order to remain eligible for the funds, the state must maintain a previously established level of effort. Since FY 1998, the state's share of expenses has come from line item 870622, Utility and Railroad Regulation. Prior to that time, the state's share came from the 871-499 State Match line item in the GRF.

3500 870608 Motor Carrier Safety

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$6,007,990	\$7,202,905	\$5,440,814	\$8,744,818	\$7,351,660	\$7,351,660
	19.9%	-24.5%	60.7%	-15.9%	0.0%

Source: Federal Special Revenue Fund Group: CFDA 20.218, Motor Carrier Safety Assistance Program (Federal Motor Carrier Safety Administration)

Legal Basis: ORC 4919.79 (originally established by Controlling Board in 1984)

Purpose: Funds are used to administer the Motor Carrier Safety Assistance Program (MCSAP) involving the safe operation of commercial motor vehicles. The program, originally authorized by the Surface Transportation Act of 1982, began as an inspection program by the PUCO. However, with the passage of the Intermodal Surface Transportation Act of 1991, it was expanded to deal with drug interdiction and other matters under the purview of the State Highway Patrol. To receive the grant, the state must contribute 20% of the total costs and use the funds to enhance the program, not to support existing activities. In FY 1996, the PUCO's transportation enforcement division was transferred to the Department of Public Safety (DPS). As a result, much of these federal moneys are now directed to the Highway Safety Federal Reimbursement Fund (8310). Since, however, the PUCO is the primary recipient for the federal funds, this line item retains appropriation authority over the entire amount of the federal grant. The PUCO transfers the appropriate amount to the DPS to fund the Department's enforcement division. Federal funds were most recently authorized in 2005 by the Safe, Accountable, Flexible, Efficient Transportation Equity Act' a Legacy for Users (SAFETEA-LU).

Public Utilities Commission of Ohio

3CU0 870627 Electric Market Modeling

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$0	\$0	\$0	\$115,710	\$0	\$0
	N/A	N/A	N/A	-100%	N/A

Source: Federal Special Revenue Fund Group: CFDA 81.119, State Energy Program Special Projects

Legal Basis: Discontinued line item (originally established by the Controlling Board in FY 2009)

Purpose: These moneys were used to conduct a cost-benefit analysis of modern grid deployment in Ohio; also, in partnership with the Ohio State University, evaluate Ohio electric companies' Standard Service Offers. The long-term objective of the partnership with Ohio State is to establish a university-based regional modeling center. By providing a sophisticated market model, the partnership will enable the PUCO to conduct an analysis of future market prices over the period of several years.

3V30 870604 Commercial Vehicle Information Systems/Networks

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$45,489	\$94,413	\$52,366	\$47,600	\$100,000	\$100,000
	107.6%	-44.5%	-9.1%	110.1%	0.0%

Source: Federal Special Revenue Fund Group: CFDA 20.205, Commercial Vehicle Information Systems/Networks (Federal Highway Administration, Highway Planning and Construction grants)

Legal Basis: Section 363.10 of Am. Sub. H.B. 1 of the 128th G.A. (originally established by Am. Sub. H.B. 94 of the 124th G.A.)

Purpose: The Commercial Vehicle Information Systems and Networks (CVISN) Program is a key component of the Federal Motor Carrier Safety Administration's (FMCSA) drive to improve commercial motor vehicle safety. CVISN will enable safety inspectors to target their resources on the highest risk carriers, drivers, and vehicles. These changes are expected to reduce the frequency and severity of accidents that involve commercial vehicles. CVISN will enable government agencies, the motor carrier industry, and other parties engaged in commercial vehicle operations to exchange information and conduct business transactions electronically. The PUCO is the administrative lead in the business plan development for Ohio. The departments of Taxation, Public Safety, and Transportation, as well as the Ohio Trucking Association are participating in the project. Federal funds were most recently authorized in 2005 by the Safe, Accountable, Flexible, Efficient Transportation Equity Act' a Legacy for Users (SAFETEA-LU).

Public Utilities Commission of Ohio

State Special Revenue Fund Group

4A30 870614 Grade Crossing Protection Devices-State

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$903,948	\$1,063,418	\$1,224,069	\$2,272,336	\$1,349,757	\$1,349,757
	17.6%	15.1%	85.6%	-40.6%	0.0%

Source: State Special Revenue Fund Group: \$1.2 million per year from the state gasoline tax

Legal Basis: ORC 4907.471 (Am. Sub. H.B. 111 of the 118th G.A. transferred the legal basis from ORC 5523.31, and transferred the appropriation for 770750, Grade Crossing Protection Devices - State, from the Department of Transportation to this Public Utilities Commission line)

Purpose: The funds in this line item are used to provide warning devices at rail-highway crossings, pursuant to ORC 4907.471. These devices include flasher lights and gates. This line receives \$1.2 million each year from the state gasoline tax, to provide preliminary funding for upgrades or funding for which federal funds cannot be used (such as, to cover preliminary engineering costs). The upgrades are undertaken by the railroads, and the PUCO reimburses them for the expenditure when the project is complete. Expenditures in excess of \$1.2 million in any year may be incurred as projects begun in prior years are completed, and the railroads are reimbursed for the expenses.

4L80 870617 Pipeline Safety-State

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$151,088	\$180,837	\$164,523	\$178,344	\$187,621	\$187,621
	19.7%	-9.0%	8.4%	5.2%	0.0%

Source: State Special Revenue Fund Group: Assessments against gas and natural gas pipeline operators and deposited into the Pipeline Safety Fund (individual assessments are based on the total amount of gas supplied during the calendar year preceding the assessment; assessments are made in October of each year and the total amount assessed depends on the appropriation level received by the PUCO in order to administer the program)

Legal Basis: ORC 4905.92 (originally established by Am. Sub. H.B. 365 of the 119th G.A.)

Purpose: Moneys in this line item are used to administer the pipeline safety code for all gas and natural gas pipeline operators in the state and to finance PUCO's duties and responsibilities under the program. All of the moneys deposited in the fund are to be used exclusively for the administration and enforcement of the pipeline safety code.

Public Utilities Commission of Ohio

4S60 870618 Hazardous Material Registration

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$518,442	\$375,925	\$359,473	\$262,277	\$464,325	\$464,325
	-27.5%	-4.4%	-27.0%	77.0%	0.0%

Source: State Special Revenue Fund Group: Fees collected under the program for the uniform registration and permitting of persons engaged in the highway transportation of hazardous materials in Ohio - (1) a \$50 per-carrier processing fee and (2) an apportioned per-truck registration fee (in the first year, FY 1995, the operations were funded by the \$50 per-carrier fee and a federal grant of \$40,000)

Legal Basis: ORC 4905.80 (originally established by Sub. H.B. 647 of the 120th G.A.)

Purpose: Funds are used to enforce the Hazardous Materials Transportation Law (ORC 4905.80 through 4905.83). This program was devised in accordance with the Hazardous Materials Transportation Uniform Safety Act of 1990. The act called for the eventual establishment of a base-state-type system of registering hazardous materials transporters in the U.S.

4S60 870621 Hazardous Materials Base State Registration

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$302,755	\$314,265	\$274,665	\$295,860	\$373,346	\$373,346
	3.8%	-12.6%	7.7%	26.2%	0.0%

Source: State Special Revenue Fund Group: Registration fees of hazardous material carriers who register in the State of Ohio

Legal Basis: ORC 4905.80 (originally established by Am. Sub. H.B. 117 of the 121st G.A.)

Purpose: This line item is used to receive and disburse funds received under a base-state registration program for hazardous material carriers. Under this type of program, carriers who operate in more than one state can register for all states in their home state. This fund receives those registration fees that are ultimately to be transferred to other states. Fees collected on behalf of the state of Ohio are credited to line item 870618, Hazardous Materials Registration, in Fund 4S60.

4U80 870620 Civil Forfeitures

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$292,515	\$232,258	\$235,744	\$241,967	\$284,986	\$284,986
	-20.6%	1.5%	2.6%	17.8%	0.0%

Source: State Special Revenue Fund Group: Forfeitures

Legal Basis: ORC 4923.12(c) (originally established by Am. Sub. H.B. 117 of the 121st G.A.)

Purpose: This line item funds the administrative costs of the civil forfeitures program created in Am. Sub. H.B. 117 of the 121st G.A. The program centralizes with the PUCO the collection of civil forfeitures from motor carriers found to be in violation of state and federal safety rules and regulations. A portion of the forfeitures is deposited into Fund 4U80, Civil Forfeitures, to fund the costs of administering this program. Revenues in excess of the appropriation to the 870620 line item are deposited into the GRF.

Public Utilities Commission of Ohio

5590 870605 Public Utilities Territorial Administration

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$0	\$0	\$0	\$0	\$4,000	\$4,000
	N/A	N/A	N/A	N/A	0.0%

Source: State Special Revenue Fund Group: Assessments paid by electric companies to cover expenses incurred in resolving boundary disputes

Legal Basis: ORC 4933.89 (originally established by Controlling Board in FY 1981; authorized by Am. H.B. 577 of the 112th G.A.)

Purpose: This line item funds the costs incurred by the Commission in drawing and mapping service boundary lines. Revenues are received only when the Commission is required to settle a boundary dispute between electric companies. It is difficult to predict when that might occur. No reimbursements for such disputes have been made since FY 1990.

5600 870607 Special Assessment

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$59,467	\$0	\$0	\$0	\$100,000	\$100,000
	-100%	N/A	N/A	N/A	0.0%

Source: State Special Revenue Fund Group: A special assessment levied upon the utility or utilities under investigation (assessment is set at such a level as to cover the cost of investigation). As the costs in an investigation are incurred, the PUCO usually seeks reimbursement on a monthly basis.

Legal Basis: ORC 4903.24 (originally established by Controlling Board in 1982)

Purpose: Funds in this line item are used to conduct large-scale investigations of a public utility when the investigation or the results of the investigation apply to a specific company.

5610 870606 Power Siting Board

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$396,781	\$274,672	\$296,593	\$320,513	\$647,893	\$647,893
	-30.8%	8.0%	8.1%	102.1%	0.0%

Source: State Special Revenue Fund Group: Fees submitted with applications for a certificate of environmental compatibility and public need plus expenses incurred in processing applications. Utilities are billed annually for expenses incurred in the prior year.

Legal Basis: ORC 4906.06 (originally established by Am. Sub. H.B. 291 of the 115th G.A.)

Purpose: This line item provides operating funds for the Power Siting Board. Am. Sub. H.B. 694 of the 114th G.A. transferred the board to the PUCO in FY 1982. It had previously functioned as an independent agency. The line item receives fees submitted with applications for a certificate of environmental compatibility and public need. A public utility must have such a certificate before constructing or expanding major utility facilities. The Board is empowered to approve or disapprove applications for such a certificate.

Public Utilities Commission of Ohio

5BPO 870623 Wireless 911 Administration

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$3,372,649	\$21,028,934	\$27,860,660	\$31,505,689	\$34,417,000	\$36,443,000
	523.5%	32.5%	13.1%	9.2%	5.9%

Source: State Special Revenue Fund Group: fees imposed on wireless service subscribers until December 31, 2012

Legal Basis: ORC 4931.63

Purpose: This line item provides funding for the compensation of the Ohio 9-1-1 Coordinator and for other expenses of operating the 9-1-1 Service Program. This program is responsible primarily for distributing fee revenue received from charges levied on wireless service subscribers to counties and other political subdivisions that operate wireless enhanced 9-1-1 service within the county. The fee imposed on wireless service subscribers is 28 cents per month, of which over 96% each year is distributed to counties.

6380 870611 Biofuels/Municipal Waste Technology

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$38,926	\$21,392	\$4,454	\$20,908	\$40,000	\$40,000
	-45.0%	-79.2%	369.5%	91.3%	0.0%

Source: State Special Revenue Fund Group: Grant moneys from the Council of Great Lake Governors, Inc., a Minnesota-based nonprofit corporation which operates a seven-state biomass energy program in the Great Lakes region for the U.S. Department of Energy

Legal Basis: Section 363.10 of Am. Sub. H.B. 1 of the 128th G.A. (originally established by Controlling Board on January 11, 1988)

Purpose: This line item funds the Ohio Biomass Energy Program which promotes the use of biofuels and municipal waste for energy development and substitution for fossil fuels.

Public Utilities Commission of Ohio

6610 870612 Hazardous Materials Transportation

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$861,304	\$781,659	\$734,742	\$837,600	\$900,000	\$900,000
	-9.2%	-6.0%	14.0%	7.4%	0.0%

Source: State Special Revenue Fund Group: Up to \$800,000 annually in fines and civil forfeitures assessed against hazardous materials transporters (prior to the passage of H.B. 647 of the 120th G.A., these funds were deposited in the GRF; amounts in excess of \$800,000 will continue to be deposited into the GRF)

Legal Basis: ORC 4905.80 (originally established by Am. Sub. H.B. 428 of the 117th G.A., substantially amended by H.B. 647 of the 120th G.A.)

Purpose: Moneys credited to this line item fund emergency response training and other hazardous materials training programs throughout the state. In the past, 50% has gone to Cleveland State University for its training program for public safety and emergency services personnel, and 50% has been allocated to other educational institutions, state agencies, and political subdivisions for similar programs. Am. Sub. H.B. 283 of the 123rd G.A. revised the percentage going to "other purposes." It allocates 5% of the total to the PUCO for administration and training, with the remaining 45% going to other programs. The Cleveland State University program would still receive \$400,000 a year, or 50% of the total (but no less than \$200,000).

Agency Fund Group

4G40 870616 Base State Registration Program

2006 Actual	2007 Actual	2008 Actual	2009 Actual	2010 Appropriation	2011 Appropriation
\$5,130,520	\$170,791	\$0	\$0	\$0	\$0
	-96.7%	-100%	N/A	N/A	N/A

Source: Agency Fund Group: Registration fees that are ultimately to be transferred to other states

Legal Basis: Discontinued line item (originally established by Controlling Board in October 1991 and authorized by Sub. H.B. 715 of the 120th G.A.)

Purpose: Moneys credited to this line item allowed Ohio to participate in the Single State Registration Program (SSRP, formerly known as the Base State Registration Program). The program allowed trucking companies based in participating states to register their authority to operate on an interstate basis, granted by the Federal Motor Carrier Safety Administration, with their base state only, rather than registering separately with each and every state that belongs to the SSRP. This fund receives those registration fees that are ultimately to be transferred to other states. (Those fees collected on behalf of the state of Ohio, wherever collected, are deposited in fund 5F60, the Public Utility Fund.) The program has been discontinued, effective January 1, 2007.