

An informational brief prepared by the LSC staff for members and staff of the Ohio General Assembly

Author: Allison Schoeppner, Research Analyst Reviewers: John Rau, Division Chief Hannah Wann, Research and Drafting Supervisor Volume 134 Issue 52 August 11, 2022

# **Assessments Required Under State and Federal Law**

State and federal law requires that students in public schools be assessed for their gradelevel achievement in reading, math, and science in specified grades. Accordingly, the State Board of Education has prescribed a series of annual assessments to be administered to students in grades 3-8 and in high school and a scoring system of five ranges of achievement. Those state assessments meet the requirements of federal law, which is a condition to receive Title I education funding. State law also prescribes diagnostic assessments in reading for grades K-2 that are not required under federal law.

In addition to the prescribed high school assessments, as part of the system of college and work ready assessments, a nationally standardized assessment that measures college and career readiness (ACT or SAT) must be administered to eleventh grade students.

Under state law, chartered nonpublic schools that enroll state scholarship students generally must administer the state assessments to their scholarship students and, in some cases, to all of their students.

There are exceptions from the testing requirements for certain students with disabilities and certain students whose first language is not English.

In some cases, a student may be retained in the student's current grade level for failure to attain a proficient or other specified score on an assessment. In addition, assessment scores are a factor in determining a school district's or school's performance rating on the annual state report card and other measures.

## Contents

| Diagnostic assessments   | . 4 |
|--|-----|
| Nationally standardized college and career readiness assessments | . 4 |
| Federal testing requirements                                     | . 4 |
| State achievement test administration                            | . 5 |
| Administration dates   | . 5 |
| Range of scores  | . 6 |
| Use of scores  | . 6 |
| Private schools  | . 6 |
| Assessment requirements for students with an IEP or 504 Plan     | . 7 |
| Assessment requirements for English learners                     | . 8 |
| Temporary assessment waivers due to COVID-19                     | . 8 |

| Achievement Assessments by Subject and Grade Level |                          |              |              |                |              |              |   |  |  |  |  |
|--|--------------------------|--------------|--------------|----------------|--------------|--------------|---|--|--|--|--|
| Ohio   |                          |              |              |                | Federal      |              |   |  |  |  |  |
|  | English<br>Language Arts | Math         | Science      | Social Studies | Reading      | Math         | Science                                   |  |  |  |  |
| Grade 3  | $\checkmark$             | $\checkmark$ |              |                | $\checkmark$ | $\checkmark$ | One assessment<br>between grades<br>- 3-5 |  |  |  |  |
| Grade 4  | √                        | $\checkmark$ |              | 1              | 1            | 1            |   |  |  |  |  |
| Grade 5  | √                        | $\checkmark$ | $\checkmark$ |                | $\checkmark$ | $\checkmark$ |   |  |  |  |  |

<sup>&</sup>lt;sup>1</sup> There are no prescribed state assessments for social studies. State law specifically requires school districts and schools to "teach and assess social studies" in at least grades 4 and 6, but they are not required to report results of any assessment to the Ohio Department of Education (R.C. 3301.0710(A)(4)).

| Achievement Assessments by Subject and Grade Level     |   |  |   |   |  |   |   |  |  |
|--|---|--|---|---|--|---|---|--|--|
| Ohio   |   |  |   |   | Federal                                      |   |   |  |  |
|  | English<br>Language Arts  | Math   | Science   | Social Studies  | Reading                                      | Math                                      | Science                                   |  |  |
| Grade 6  | $\checkmark$  | $\checkmark$   |   | 1   | $\checkmark$                                 | 1   |   |  |  |
| Grade 7  | $\checkmark$  | √  |   |   | 1  | 1   | One assessment<br>in grades 6-9           |  |  |
| Grade 8  | $\checkmark$  | √  | 1   |   | 1  | 1   |   |  |  |
| Grade 9-12<br>through<br>the class of<br>2022          | Two end-of-<br>course<br>exams                                  | One end-of-course<br>exam in Algebra I<br>and one end-of-<br>course exam in<br>geometry (or<br>Integrated Math I<br>and II)              | One<br>end-of-course<br>exam in<br>Biology <sup>2</sup> | One end-of-course<br>exam in American<br>History and one<br>end-of-course<br>exam in American<br>Government | One<br>assessment<br>between<br>grades 10-12 | One assessment<br>between grades<br>10-12 | One assessment<br>between grades<br>10-12 |  |  |
| Grade 9-12<br>starting<br>with the<br>class of<br>2023 | One end-of-<br>course exam<br>in English<br>Language<br>Arts II | One end-of-course<br>exam in Algebra I<br>and one end-of-<br>course exam in<br>geometry (or<br>Integrated Math I<br>and II) <sup>3</sup> | One<br>end-of-course<br>exam                            | One end-of-course<br>exam in American<br>History and one<br>end-of-course<br>exam in American<br>Government | One<br>assessment<br>between<br>grades 10-12 | One assessment<br>between grades<br>10-12 | One assessment<br>between grades<br>10-12 |  |  |

<sup>&</sup>lt;sup>2</sup> Ohio Department of Education Graduation Requirements, which is available on the Department's website, <u>education.ohio.gov</u>: click on Topics, then Graduation Requirements.

<sup>&</sup>lt;sup>3</sup> R.C. 3301.0712(B)(2)(b) requires the Department to seek a federal waiver to use Algebra I as the primary assessment of high school math. If the Department does not receive a waiver, the testing system must include a geometry exam. While the Department did receive a waiver from the geometry (or Integrated Math I and II) exam as being the primary end-of-course exam, the state is still required to administer it as part of the

## **Diagnostic assessments**

The table above does not include the diagnostic assessments prescribed by state law. Under that law, public schools must administer diagnostic assessments in reading, writing, and math for grades K-2 and in reading and writing for grade 3.<sup>4</sup> Associated with that requirement is the Third Grade Reading Guarantee, which is a program to identify students in grades K-3 who are reading below grade level. As part of that program, schools must use the diagnostic assessments in reading for grades K-3 to identify students who are reading below grade level and to provide intervention services for those students prior to taking the third grade English language arts assessment.<sup>5</sup>

Additionally, the law requires public schools and permits chartered nonpublic schools to administer the Kindergarten Readiness Assessment (KRA) to all entering kindergarten students. It must be administered no earlier than July 1 of the school year and no later than the 20<sup>th</sup> day of instruction.<sup>6</sup>

## Nationally standardized college and career readiness assessments

As part of Ohio's system of college and work ready assessments, state law requires that a nationally standardized assessment that measures college and career readiness (the ACT or SAT) be administered to all eleventh grade students in the spring.<sup>7</sup> The state pays for the ACT or SAT one time for all eligible high school students during the state administration of the exam. Schools may pay for additional administrations of the ACT or SAT, but they are not required to do so.

Beginning with students who enter the ninth grade for the first time in the 2022-2023 school year (the class of 2026), a student's parent or guardian may opt the student out of that assessment.

## **Federal testing requirements**

As a condition of receiving federal Title I education funds, the Every Student Succeeds Act (ESSA) requires states to administer annual assessments in math, English language arts, and science in specified grades. Each state must file a state plan with the

<sup>6</sup> R.C. 3301.0715(A)(2).

<sup>7</sup> R.C. 3301.0712(B)(1).

testing system. However, it is not required to qualify for a high school diploma. See <u>State Testing Updates</u>, which is available on the Department's website, <u>education.ohio.gov</u>: click on Topics, then Testing.

<sup>&</sup>lt;sup>4</sup> R.C. 3301.079(D) and 3301.0715.

<sup>&</sup>lt;sup>5</sup> R.C. 3313.608. A similar K-3 testing and intervention mandate applies to state scholarship students enrolled in chartered nonpublic schools (see R.C. 3301.163).

U.S. Department of Education detailing how those federal funds will achieve the state's education goals. While ESSA specifies assessment subjects and grade bands, each state prescribes the test content and may require other assessments as long as the plan creates a uniform statewide system.<sup>8</sup>

## State achievement test administration

In compliance with ESSA, Ohio law requires public schools to administer English language arts and math assessments in each of grades 3-8 and one science assessment in grades 5 and 8. For grades 9-12, beginning with the class of 2023, one end-of-course exam is required in each of the following: English language arts II, science, Algebra I, geometry (or integrated math I and II), American history, and American government.<sup>9</sup>

The state assessments are administered in an online format. However, a school district or school may administer the third grade English language arts or math assessments, or both, in a paper format in any school year by adopting a resolution and filing it with the Department of Education by May 1 of the prior school year.<sup>10</sup>

## **Administration dates**

The Superintendent of Public Instruction must designate dates and times for the administration of elementary and high school state assessments, including make-up assessments. In doing so, the state Superintendent must allow a reasonable time between the administration of state assessments and any administration of the National Assessment of Educational Progress (NAEP).<sup>11</sup>

The State Board has adopted rules establishing parameters for the state Superintendent to use in designating testing windows, both with regard to how long the testing windows are and what time of year each test must be administered.<sup>12</sup> The testing dates for each school year are posted on the Department's website, including the <u>2022-2023 testing dates</u>.<sup>13</sup>

If a student who is required to take an assessment does not do so on the prescribed date or make-up date, the school district board may deny the student promotion to the next grade.<sup>14</sup>

<sup>14</sup> R.C. 3301.0711(E).

<sup>&</sup>lt;sup>8</sup> 20 United States Code (U.S.C.) 6311(b)(1)(B).

<sup>&</sup>lt;sup>9</sup> R.C. 3301.0710(A), 3301.0711(B), and 3301.0712(B)(2)(b).

<sup>&</sup>lt;sup>10</sup> R.C. 3301.0711(G)(4).

<sup>&</sup>lt;sup>11</sup> R.C. 3301.0710(C).

<sup>&</sup>lt;sup>12</sup> Ohio Administrative Code 3301-13-02(A).

<sup>&</sup>lt;sup>13</sup> <u>education.ohio.gov</u>: click on Topics, then Testing, then Test Dates.

#### **Range of scores**

The State Board of Education must designate five ranges of scores for the elementary assessments and the high school endof-course exams, as follows:

- An advanced level of skill;
- An accomplished level of skill;
- A proficient level of skill;
- A basic level of skill; and
- A limited level of skill.<sup>15</sup>

A table of the scores and ranges can be found on page 5 of a document published by the Department entitled "<u>Understanding</u> <u>Ohio's State Tests Score Reports 2021-2022 (PDF)</u>."

## Use of scores

Student scores are an important factor in district and school ratings on the annual state report cards.<sup>16</sup> For instance, student scores on the assessments factor into determining which school district-operated schools might qualify a student for an Educational Choice scholarship.<sup>17</sup> Furthermore, student scores are used in determining promotion under the Third Grade Reading Guarantee. An elementary student may be denied promotion to the next grade for failing to attain at least a proficient score on one or more of the required assessments.<sup>18</sup>

## **Private schools**

State law generally requires each chartered nonpublic (private) school that participates in a state scholarship program to administer the state elementary assessments or approved alternative assessments to its scholarship students. A school must

<sup>&</sup>lt;sup>15</sup> R.C. 3301.0710(A)(2) and 3301.0712(B)(5).

<sup>&</sup>lt;sup>16</sup> R.C. 3302.03 and 3314.17.

<sup>&</sup>lt;sup>17</sup> R.C. 3310.03.

<sup>&</sup>lt;sup>18</sup> R.C. 3301.0711(N).

administer the elementary assessments to *all* of its students if 65% or more of its students are attending with a state scholarship, but the parent or guardian of a nonscholarship student may opt the student out of an assessment.<sup>19</sup>

Schools that primarily serve special education students may apply to the state Superintendent for a waiver from the elementary testing requirements under certain conditions.<sup>20</sup>

A school generally must administer to its high school students each required end-of-course exam or an approved alternative assessment. In the case of a school accredited by the Independent Schools Association of the Central States (ISACS), only state scholarship students are required to take the end-of-course exams or alternative assessments.<sup>21</sup>

## Assessment requirements for students with IEP or 504 plan

Under both state and federal law, the individualized education program (IEP) developed for a student with a disability must specify the manner in which that student will participate in state assessments. The IEP may excuse a student with significant cognitive or intellectual disabilities from taking any particular assessments if it instead specifies an approved alternate assessment method. To the extent possible, the IEP must not completely excuse a student from taking an assessment unless no reasonable accommodation can be made to enable the student to take the assessment.<sup>22</sup>

Similarly, a student with a disability enrolled in a chartered nonpublic school for whom the school has developed a "Section 504 plan" may be excused from an assessment, if (1) the plan expressly excuses the student from taking that assessment, or (2) the school, in consultation with the student's parents, determines that an assessment or alternative assessment with accommodations does not accurately assess the student's academic performance.<sup>23</sup> State law does not expressly require public schools to develop a Section 504 plan for students with disabilities when the precision of an IEP is not needed; however, they are required to do so under federal law.

<sup>&</sup>lt;sup>19</sup> State scholarship programs include the Jon Peterson Special Needs Scholarship, Autism Scholarship, Educational Choice Scholarship and Cleveland Scholarship.

<sup>&</sup>lt;sup>20</sup> R.C. 3301.0711(K), 3310.14, 3310.552, and 3313.976.

<sup>&</sup>lt;sup>21</sup> R.C. 3301.0711(L) and 3313.612(B)(2).

<sup>&</sup>lt;sup>22</sup> R.C. 3301.0711(C)(1). See also R.C. 3323.011(F) and 20 U.S.C. 6311(b)(1)(E).

<sup>&</sup>lt;sup>23</sup> R.C. 3301.0711(C)(1)(c). "Section 504" refers to Section 504 of the federal Rehabilitation Act of 1973 (29 U.S.C. 794), which prohibits discrimination based on a disability in any program or activity receiving federal financial assistance.

## Assessment requirements for English learners

An "English learner" (formerly known as limited English proficient student) is a student who may be new to the United States or is a Native American or Alaska Native and whose first language at home is not English.<sup>24</sup> Generally, under state and federal law, English learners must take the state assessments but may be provided with appropriate accommodations. On the other hand, any English learner who has been enrolled in U.S. schools for less than one full school year is not required to take any reading, writing, or English language arts assessment. Moreover, a public school may not require an English learner who has been enrolled in U.S. schools for less than two years to take the state-administered ACT or SAT, if no appropriate accommodations are specified for the student by ACT or the College Board.<sup>25</sup> In addition, state law specifies that a chartered nonpublic school may excuse an English learner from taking any state achievement assessment and may not require such a student to take the ACT or SAT.<sup>26</sup>

## **Temporary waivers due to COVID-19**

Because of nationwide school closures due to the outbreak of COVID-19, the U.S. Department of Education made special dispensations for the 2019-2020 school year only.<sup>27</sup> Essentially, upon receiving a "proper request" from a state, the U.S. Department waived the federal testing requirements. As a result, the Ohio Department of Education sought and was granted a federal waiver for that school year. Additionally, the General Assembly enacted provisions to waive the additional required state assessments for all public and chartered nonpublic schools for that school year.<sup>28</sup>

The U.S. Department did not extend the federal waivers from assessments for the 2020-2021 school year. But the General Assembly did enact legislation that waived the American History end-of-course exam for that school year only.<sup>29</sup>

No provision waiving state or federal assessment requirements was enacted for the 2021-2022 school year.

<sup>&</sup>lt;sup>24</sup> 20 U.S.C. 7801(20).

<sup>&</sup>lt;sup>25</sup> ACT, <u>Allowable ACT Accommodations & English Learner Supports (PDF)</u>, which is available at <u>act.org</u>, keyword search "English." College Board, <u>SAT Supports for English Learners</u>, which is available at <u>collegeboard.org</u>, keyword search "English."

<sup>&</sup>lt;sup>26</sup> R.C. 3301.0711(C)(3) and (4). See also 20 U.S.C. 6311(b)(1)(F) and (J) and (b)(3).

<sup>&</sup>lt;sup>27</sup> <u>Helping Students Adversely Affected by School Closures</u>, as reported on the website of the American Consortium for Equity in Education, <u>ace-ed.org</u>.

<sup>&</sup>lt;sup>28</sup> Section 17(A)(1) and (2) of H.B. 197 of the 133<sup>rd</sup> General Assembly.

<sup>&</sup>lt;sup>29</sup> Section 3(A) of H.B. 67 of the 134<sup>th</sup> General Assembly.