

Department of Insurance

Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Continuing education – returned check fee	3905.485	3901-5-06(G)	\$30.00	Yes, by rule	One time
Surety bail bond application and license renewals	3905.85	3901-5-09	\$150.00	Yes, by statute	Annually
Multiple employer welfare association application	1739.03(B)		\$1,000.00	No	One time
Multiple employer welfare arrangement certificate of authority renewal	1739.04(E)		\$1,000.00	No	Annually
Fees for required health insuring corporations (HIC) filings	1751.44(A)(5)		\$50.00	No	As needed
Filing annual statement – HIC*	1751.44(A)(4)		\$25.00	No	Annually
Major modification – HIC	1751.44(A)(3)		\$300.00	No	As needed
Service area expansion – HIC	1751.44(A)(2)		\$300.00	No	As needed
Certificate of authority – HIC	1751.44(A)(1)		\$1,500.00	No	One time
HIC market conduct examination	1751.34(D)		Cost		As needed
Consultant fees for review of HIC's risk-based capital plan	1753.34(D)		Cost		One time
License reinstatement – child support order default	3123.48		\$0.00-\$50.00	No	One time
Fees for service or transaction required by Am. Sub. H.B. 478	3901.042	3901-1-57	Not specified	Yes, by statute	As needed
Filing of notice of agent appointment and renewal (resident and nonresident)	3901.043, 3905.40 (A)(8)	3901-1-57	\$15.00/appointment	Yes, by rule	As needed
Stock registration fee*	3901.043		Various	Yes, by statute	One time
Filing fee – life (including group life and life policies with variable rates)	3901.043	3901-1-57	\$50.00	Yes, by rule	As needed
Filing fee – credit life and health	3901.043	3901-1-57	\$50.00	Yes, by rule	As needed
Filing fee – accident and health	3901.043	3901-1-57	\$50.00	Yes, by rule	As needed
Filing fee – property and casualty, motor vehicle	3901.043	3901-1-57	\$50.00	Yes, by rule	As needed
Filing fee – Form D	3901.043	3901-1-57	\$250.00	Yes, by rule	As needed

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Filing fee – Form A	3901.043	3901-1-57	\$2,500.00	Yes, by rule	As needed
Filing fee – Form A – exemption	3901.043	3901-1-57	\$1,000.00	Yes, by rule	As needed
Filing fee – petition of merger/consolidation – domestic life	3901.043	3901-1-57	\$1,500.00	Yes, by rule	As needed
Filing fee – company conversion plans	3901.043	3901-1-57	\$2,500.00	Yes, by rule	As needed
Filing fee – company redomestications	3901.043	3901-1-57	\$2,500.00	Yes, by rule	As needed
Filing fee – reinsurance plan	3901.043	3901-1-57	\$1,500.00	Yes, by rule	As needed
Insurer examination	3901.07(L), 1751.34(A)		Cost		At least triennially
Committee on Valuation of Securities of the National Association of Insurance Commissioners assessments	3901.76		Cost		Periodic
Consultant review of insurer's risk-based capital plan	3903.84		Cost		One time
Insurance agent licensure examination fee	3905.04(A)		Not specified	Examination fees are part of the RFP process when selecting test vendor	As needed
Resident agent background check	3905.051		Cost - Determined by Ohio Attorney General and FBI		One time
Copies of papers filed with Superintendent	3905.40(A)(4)		\$0.20/page	No	As needed
Surplus lines insurer company license	3905.30	3901-1-57	\$1,000.00	No	Annually
Surplus lines brokers license	3905.32		\$100.00	No	One time
Certification of documents	3905.40(A)(7)		\$2.00/document	No	As needed
Certificate of compliance or certified copies	3905.40(A)(6)		\$60.00	No	As needed
Certificates of deposit (first copy)	3905.40(A)(5)		\$5.00	No	As needed
Certificates of deposit (each additional)	3905.40(A)(5)		\$1.00	No	As needed
Certificate of authority, certified copy	3905.40(A)(3)		\$5.00	No	One time

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Company certificate of authority	3905.40(A)(3)		\$175.00	No	One time
Filing of company annual statement	3905.40(A)(2)		\$175.00	No	Annually
Filing of company charter or deed of settlement	3905.40(A)(1)		\$250.00	No	One time
Insurance agent licensure fee	3905.40(C)		\$10.00/line of authority	No	As needed
Valuation of domestic life policies*	3905.40(B)		\$0.01/\$1,000.00 face value in force	No	Annually
Domestic life amendment verification	3905.40(D)		\$250.00	No	As needed
Agent license renewal	3905.40(E)		\$25.00	No	Biennially
Continuing education – provider/course application – flat fee	3905.485, 3905.12(A)(2)	3901-5-06 (D)(2) (a)	\$1,000.00 covers provider application fee and course application fees for all courses submitted that calendar year	Yes, by rule	Annually
Continuing education – provider application – individual	3905.485, 3905.12(A)(2)	3901-5-06 (D)(2) (b)	\$100.00	Yes, by rule	One time
Continuing education – provider course – individual	3905.485, 3905.12(A)(2)	3901-5-06 (D)(2) (b)	\$40.00/course	Yes, by rule	One time
Continuing education – provider application – limited	3905.485, 3905.12(A)(2)	3901-5-06 (D)(2) (c)	\$25.00	Yes, by rule	One time
Continuing education – provider course – limited	3905.485, 3905.12(A)(2)	3901-5-06 (D)(2) (c)	\$25.00/course	Yes, by rule	One time
Continuing education – filing of course roster fee	3905.485, 3905.12(A)(2)	3901-5-06 (E)(1)	\$1.00/person per credit hour	Yes, by rule	One time
Managing general agent application	3905.72(C)(4)	3901-3-10	\$20.00	No	One time
Reinsurance intermediary (first issue and renewal)	3905.81(D)		\$500.00	No	Annually
Acceptance of reinsurance intermediary license of another state	3905.81(D)		\$100.00	No	Annually

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Surety bail bond original application	3905.85(A)		\$150.00	No	One time
Surety bail bond license renewal	3905.85(F)		\$150.00	No	Annually
Foreign life change of agent appointment/change of address	3909.05(J)		\$5.00	No	As needed
Foreign life service of process on superintendent	3909.05(K)		\$50.00	No	As needed
Viatical settlement broker application	3916.03(A)	3901-9-02(J)	\$200.00	Yes, by rule	One time
Viatical settlement provider application	3916.03(A)	3901-9-02(J)	\$1,000.00	Yes, by rule	One time
Viatical settlement broker renewal	3916.03(F)	3901-9-02(J)	\$100.00	Yes, by rule	Annually
Viatical settlement provider renewal	3916.03(F)	3901-9-02(J)	\$500.00	Yes, by rule	Annually
Viatical settlement contract/form filing	3916.05(B)		Reasonable	Yes, by rule	As needed
Viatical settlement licensee examination	3916.11(B)(4), 3916.14(B)		Cost		As needed
Mutual life charter filing	3919.26(A)		\$25.00	No	One time
Mutual life statement filing	3919.26(B)		\$20.00	No	Annually
Mutual protective association agent license	3919.26(D)		\$1.00/agent	No	As needed
Certification of any paper	3919.26(E)		\$1.00	No	As needed
Mutual protective association licenses for all agents with company	3919.26(D)		\$25.00	No	Annually
Mutual life license or certificate	3919.26(C)		\$1.00	No	One time
Fraternal benefit society license and renewal	3921.27		\$25.00	No	Annually
Foreign or alien fraternal benefit society examination fee	3921.28(B)		Cost		As needed
Fraternal benefit society -service of process on superintendent	3921.35(K)		\$50.00	No	As needed
Open enrollment reinsurance fund*	3924.11(G)(2)		Cost		Annually

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Public rate hearing expenses*	3923.021(D)		Cost, not to exceed 0.01% of premiums earned + changes in investment values in the most recent annual statement on file with the superintendent.		One time
Ohio Health Reinsurance Program interim assessments*	3924.09(G)		Cost		As needed
Ohio Health Reinsurance Program assessments*	3924.09(G), 3924.13		Cost		As needed
Foreign insurers – change in agent/address	3927.03(J)		\$5.00	No	As needed
Foreign insurers – service of process on superintendent	3927.03(K)		\$50.00	No	As needed
Ohio Fair Plan Underwriting Association insurer assessments	3929.43(D)(1)	3901-1-18	Cost		As needed
Ohio Fair Plan Underwriting Association contract policy fee	3929.481		\$0.01/policy in force	No	Annually
Commercial market assistance plan – request for assistance	3930.02(C)		Reasonable	Yes, by statute	As needed
Ohio Commercial Insurance Joint Underwriting Association assessments	3930.03(D)(2)		Cost		As needed
Ohio Commercial Insurance Joint Underwriting Association proportional participation costs	3930.05		Cost		As needed
Ohio Commercial Insurance Joint Underwriting Association stabilization reserve fund charge	3930.06(B)		20% of the total premium payment due	No	Annually
Ohio Commercial Insurance Joint Underwriting Association loss contingency fund assessment	3930.07(A)		Cost		As needed
Ohio Commercial Insurance Joint Underwriting Association examination	3930.13		Cost		As needed

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Reciprocal or interinsurance – required financial statement filing	3931.02(B)		\$20.00	No	Annually
Reciprocal or interinsurance – certificate of license or certified copy	3931.02(C)		\$2.00	No	As needed
Reciprocal or interinsurance – filed copies of paper	3931.02(D)		\$0.20/folio	No	As needed
Reciprocal or interinsurance – certification of paper	3931.02(E)		\$1.00	No	As needed
Action against attorney	3931.04		\$2.00	No	As needed
Reciprocal or interinsurance – attorney or licensee examinations	3931.08		Cost		As needed
Filing fee – rating bureau filing	3935.04	3901-1-57	\$50.00/filing	Yes, by rule	As needed
Rating bureau license (triennial)	3935.06(D)		\$25.00	No	Triennially
Rating bureau examination*	3935.11		Cost		At least once every 5 years
Casualty – rating organization	3937.05(A)(4)		\$25.00	No	Triennially
Rating organization examination*	3937.11		Cost		At least once every 5 years
Cancellation review	3937.35			No	As needed
Public insurance adjuster agent certificate and renewal	3951.03(E)		\$50.00	No	Annually
Public insurance adjuster (first issue)	3951.06(A)		\$100.00	No	One time
Public insurance adjuster (renewal)	3951.06(C)		\$50.00	No	Annually
Public insurance adjuster supplemental certificate of authority	3951.06(B)		\$50.00/public insurance adjuster	No	As needed
Ohio Insurance Guaranty Association assessments	3955.08(A)(3)	3901-1-25	Cost		As needed
Ohio Life and Health Insurance Guaranty Association Class B assessments	3956.09(B)(2)		Cost		As needed
Ohio Life and Health Insurance Guaranty Association Class A assessments	3956.09(B)(1)		Cost		Annually

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Third-party administrators (first issue)	3959.06		\$200.00	No	One time
Third-party administrators (renewal)	3959.10(B)		\$300.00	No	Annually
Foreign risk retention group registration filing	3960.03(A)(3)		Not specified	Yes, by statute	One time
Purchasing group registration filing	3960.07(A)(2)		Not specified	Yes, by statute	One time
Navigator registration fee	3905.471	3901-5-13	\$250.00-\$500.00		
Navigator renewal fee	3905.471	3901-5-13	\$100.00-\$250.00		
Continuing education – filing of published course material	3905.485, 3905.12(A)(2)	3901-5-06(E)(2)	\$1.00/credit hour	Yes, by rule	One time
Pre-licensing education – pre-licensing provider application fee	3905.485, 3905.12(A)(2)	3901-5-06(F)(1)	\$100.00	Yes, by rule	One time
Pre-licensing education – pre-licensing provider course application	3905.485, 3905.12(A)(2)	3901-5-06 (F)(2) (a)	\$200.00/course application	Yes, by rule	One time
Pre-licensing education – pre-licensing provider subject matter category	3905.485, 3905.12(A)(2)	3901-5-06(F)(2) (b)	\$25.00/subject matter requested on the course application	Yes, by rule	One time
Pre-licensing education – pre-licensing provider instructor application fee	3905.485, 3905.12(A)(2)	3901-5-06(F)(3)	\$25.00	Yes, by rule	One time
Licensed insurance agent violation	3905.77		\$0.00-\$1,000.00	No	As needed
Surety bail bond license late renewal fee	3905.85(F)(4)(c)	3901-5-09	\$100.00		
Fine for failing to maintain an agent	3909.05(K)		\$25.00-\$200.00		
Fraternal benefit society – change of agent appointment/address	3921.35(J)		\$5.00	No	As needed
Fraternal benefit society – service of process on superintendent	3921.35(K)		\$50.00	No	As needed
Fraternal benefit society – fine for not appointing agent	3921.35(K)		\$25.00-\$200.00	No	As needed
Foreign insurers – failure to maintain agent	3927.03(K)		\$25.00-\$200.00		
Reciprocal or interinsurance – filing declaration	3931.02(A)		\$25.00		

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Third-party administrators (reinstatement)	3959.10(C)		\$25.00/month		
Filing fee – rating bureau	3935.	3901-1-57(C)(8)	\$50.00	Yes, by rule	As needed
Extraordinary dividence forfeiture	3901.37			No	As needed
Prompt payment violations	3901.3812(B)(3)		Varies depending upon number of violations	No	As needed
Disciplinary fine	3905.14(D)(1)		Per violation	No	As needed
Administrative assessment related to discipline	3905.14(D)(2)		Cost	No	As needed
Portable electronics insurance vendor (more than 10 locations) – initial application fee	3905.40(F)		\$0.00-\$5,000.00	No	One time
Portable electronics insurance vendor (more than 10 locations) – renewal fee	3905.40(F)		\$0.00-\$2,500.00	No	Biennially
Portable electronics insurance vendor (10 or fewer locations) – initial application fee	3905.40(F)		\$0.00-\$3,000.00	No	One time
Portable electronics insurance vendor (10 or fewer locations) – renewal fee	3905.40		\$0.00-\$1,000.00	No	Biennially
Self-service storage insurance limited lines license – initial	3905.40(H)		\$0.00-\$10.00	No	As needed
Self-service storage insurance limited lines license – renewal	3905.40(H)		\$0.00-\$25.00	No	Biennially
Pharmacy benefit manager licensee - fine for fraud or dishonest behavior*	3959.12		Unspecified		As needed
Violation of Corporate Governance Annual Disclosure Act*	3901.078		\$100.00-\$10,000.00	No	As needed
Limited lines travel insurance - initial license fee	3905.40(G)		\$0.00-\$50.00	No	As needed
Limited lines travel insurance agent - renewal	3905.40(G)		\$0.00-\$50.00	No	Biennially

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Fee description	R.C.	O.A.C.	Fee (\$)	Authority to raise	Recurring
Late License Renewal	3905.12, 3905.06 (G), 3905.07 (G), 3905.85 (F)(4)(c)	3901-5-09 (J)(1) ((d)(ii), 3901-5-13 (E)1)(c)	\$50.00	Yes, by rule	As needed
License reinstatement – Late renewal	3905.12, 3905.06 (G), 3905.07 (G), 3905.85 (F)(6)	3901-5-09 (J)(1) ((d)(iii))	\$100.00	Yes, by rule	As needed

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